Kraton Performance Polymers, Inc.

Form 3

December 17, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  Simmons James Leo			Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol Kraton Performance Polymers, Inc. [KRA]				
(Last)	(First)	(Middle)	12/16/2014	4. Relationshi Person(s) to I	ip of Reporting	ţ	5. If Amendment, Date Origina Filed(Month/Day/Year)	
POLYMER: KENNEDY	RFORMANCE LYMERS, 15710 JOHN F. NNEDY BLVD, SUITE 300 (Street) USTON, TX 77032		Table I - N	(Check all applicable)  Director 10% OwnerX_ Officer Other (give title below) (specify below)  VP,General Counsel & Secretary			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  eneficially Owned	
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)	f Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na	ture of Indirect Beneficial ership	
Common Sto	ock		1,663 <u>(1)</u>		D	Â		
Common Ste	ock		1,188		D	Â		
Common Sto	ock		4,195 <u>(2)</u>		D	Â		
Common Sto	ock		5,004 <u>(3)</u>		D	Â		
Reminder: Repowned directly	or indirectly	<i>I</i> .	ach class of securities benefic	3	EC 1473 (7-02	2)		
	infor	mation conta	pond to the collection of ained in this form are not and unless the form displ					

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

#### Edgar Filing: Kraton Performance Polymers, Inc. - Form 3

1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
	Employee Options (Right to Buy)	(4)	01/28/2020	Common Stock	4,500	\$ 13.9	D	Â
	Employee Options (Right to Buy)	(5)	03/07/2021	Common Stock	3,392	\$ 37.11	D	Â
	Employee Options (Right to Buy)	(6)	03/05/2022	Common Stock	3,558	\$ 28.42	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Dire	ector	10% Owner	Officer	Other		

Simmons James Leo

C/O KRATON PERFORMANCE POLYMERS 15710 JOHN F. KENNEDY BLVD, SUITE 300 HOUSTON, TXÂ 77032

 $\hat{A}$   $\hat{A}$   $\hat{A}$  VP,General Counsel & Secretary  $\hat{A}$ 

### **Signatures**

/s/ James L. Simmons 12/17/2014

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock award shall vest in full on the third anniversary of the date of grant, or March 5, 2015.
- (2) The restricted stock award shall vest in full on the third anniversary of the date of grant, or March 4, 2016.
- (3) The restricted stock award shall vest in full on the third anniversary of the date of grant, or March 3, 2017.
- (4) 3,000 of the options reported above have vested and are immediately exercisable. The remaining options vest January 28, 2015, subject to the reporting person being employed by the company or its affiliates on the vesting date.
- (5) All of the options reported above have vested and are immediately exercisable.
- (6) 2,372 of the options reported above have vested and are immediately exercisable. The remaining options vest March 5, 2015, subject to the reporting person being employed by the company or its affiliates on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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