

CVS HEALTH Corp  
Form 4  
November 10, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Foulkes Helena

(Last) (First) (Middle)  
ONE CVS DRIVE  
(Street)  
WOONSOCKET, RI 02895-

2. Issuer Name and Ticker or Trading Symbol  
CVS HEALTH Corp [CVS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/07/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, President-CVS/pharmacy

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/07/2014		M	V	19,964 A \$ 45.07	38,039.9739	D
Common Stock	11/07/2014		S <sup>(1)</sup>		19,964 D \$ 88.0601	18,075.9739	D
Common Stock	11/07/2014		M	V	14,303 A \$ 34.96	32,378.9739	D
Common Stock	11/07/2014		S <sup>(1)</sup>		14,303 D \$ 88.0677	18,075.9739	D
	11/07/2014		M	V	12,058 A \$ 34.96	30,133.9739	D

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Common Stock								
Common Stock	11/07/2014		S <sup>(1)</sup>	12,058	D	\$ 88.0677 <u>(4)</u>	18,075.9739	D
Common Stock (pep)							4,533.2237	D
Common Stock (restricted)							39,089	D
ESOP Common Stock							6,067.3375	I
Stock Unit							26,042.0955	D
								By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 45.07	11/07/2014		M	19,964	04/02/2013 <sup>(5)</sup>	04/02/2019	Common Stock	19,964
Stock Option	\$ 34.96	11/07/2014		M	14,303	04/01/2014 <sup>(6)</sup>	04/01/2021	Common Stock	14,303
Stock Option	\$ 34.96	11/07/2014		M	12,058	04/01/2012 <sup>(7)</sup>	04/01/2018	Common Stock	12,058
Stock Option	\$ 54.53					04/01/2014 <sup>(8)</sup>	04/01/2020	Common Stock	39,340
Stock Option	\$ 74.29					04/01/2015 <sup>(9)</sup>	04/01/2021	Common Stock	52,452

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Foulkes Helena ONE CVS DRIVE WOONSOCKET, RI 02895-			EVP, President-CVS/pharmacy	

## Signatures

Helena Foulkes 11/10/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) All sales were effected pursuant to a Rule 10b5-1 plan.
- (2) Represents weighted average sale price for this trading day. Multiple sales were executed, with sales prices ranging between \$87.80 and \$88.38 per share.
- (3) Represents weighted average sale price for this trading day. Multiple sales were executed, with sales prices ranging between \$87.75 and \$88.38 per share.
- (4) Represents weighted average sale price for this trading day. Multiple sales were executed, with sales prices ranging between \$87.75 and \$88.38 per share.
- (5) Option became exercisable in four equal annual installments, commencing 4/2/2013.
- (6) Option became exercisable in three equal annual installments, commencing 4/1/2014.
- (7) Option became exercisable in four equal annual installments, commencing 4/1/2012.
- (8) Option became exercisable in four equal annual installments, commencing 4/1/2014.
- (9) Option becomes exercisable in four equal annual installments, commencing 4/1/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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