

Duke Energy CORP
 Form 4
 September 02, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 JANSON JULIA S

2. Issuer Name and Ticker or Trading Symbol
 Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 550 S. TRYON STREET

3. Date of Earliest Transaction (Month/Day/Year)
 08/28/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 EVP&Chf Lgl Off&CorpSecretary

(Street)
 CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 08/28/2014 | | M | 1,224 D | \$ 46.5 | 23,126 | D |
| Common Stock | 08/28/2014 | | M | 1,244 D | \$ 45.78 | 24,370 | D |
| Common Stock | 08/28/2014 | | S | 1,044 D | \$ 73.27 | 23,326 | D |
| Common Stock | 08/28/2014 | | S | 200 D | \$ 73.28 | 23,126 | D |
| Common Stock | 08/28/2014 | | M | 387 D | \$ 46.5 | 23,513 | D |

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| | | | | | | | | |
|--------------|------------|---|-----|---|-----------|--------|---|-----------|
| Common Stock | 08/28/2014 | S | 87 | D | \$ 73.26 | 23,426 | D | |
| Common Stock | 08/28/2014 | S | 300 | D | \$ 73.265 | 23,126 | D | |
| Common Stock | 08/28/2014 | M | 316 | D | \$ 45.78 | 23,442 | D | |
| Common Stock | 08/28/2014 | S | 316 | D | \$ 73.275 | 23,126 | D | |
| Common Stock | | | | | | 2,326 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Stock Option (Right to Buy) | \$ 45.78 | 08/28/2014 | | M | 1,244 | 04/01/2006 ⁽¹⁾ | 01/01/2015 | Common Stock | 1,244 |
| Stock Option (Right to Buy) | \$ 46.5 | 08/28/2014 | | M | 1,224 | ⁽²⁾ | 01/01/2016 | Common Stock | 1,224 |
| Stock Option (Right to Buy) | \$ 45.78 | 08/28/2014 | | M | 316 | 04/01/2006 ⁽¹⁾ | 01/01/2015 | Common Stock | 316 |
| Stock Option | \$ 46.5 | 08/28/2014 | | M | 387 | ⁽²⁾ | 01/01/2016 | Common Stock | 387 |

(Right to Buy)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| JANSON JULIA S 550 S. TRYON STREET CHARLOTTE, NC 28202 | | | EVP&Chf Lgl Off&CorpSecretary | |

Signatures

/s/ David S. Maltz, attorney-in-fact for Julia S. Janson

09/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options became exercisable in connection with the April 3, 2006 merger between Duke Energy and Cinergy.
- (2) The reporting person was granted 4,836 options on January 1, 2006. The options vested in three equal annual installments beginning on the first anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.