Edgar Filing: LAKELAND FINANCIAL CORP - Form 4

LAKELAND FINANCIAL CORP

Form 4 August 07, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

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January 31, 2005

0.5

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Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

Augsburger Blake

2. Issuer Name and Ticker or Trading

Symbol

LAKELAND FINANCIAL CORP

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

[LKFN] (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

08/05/2014

X_ Director 10% Owner Officer (give title Other (specify below)

C/O HARMAN INTERNATIONAL, 1101 PENNSYLVANIA AVE, NW, **SUITE 1010**

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

WASHINGTON, DC 20004

(City) (State) (Zip) 1. Title of

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s) 6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or (Instr. 3 and 4)

Common Stock

Security

(Instr. 3)

Code V Amount (D) Price

4,250

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title and A | Amount of | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|--------------|-------------|----------------|------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | onNumber | Expiration D | ate | Underlying S | Securities | Derivative |
| Security | or Exercise | | any | Code | of | (Month/Day/ | (Year) | (Instr. 3 and | 4) | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | | | (Instr. 5) |
| | Derivative | | | | Securities | | | | | |
| | Security | | | | Acquired | | | | | |
| | · | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | | ., | | | | | |
| | | | | | | | | | Amount | |
| | | | | | | Date | Evniration | | or | |
| | | | | | | Exercisable | Expiration | Title | Number | |
| | | | | | | Exercisable | Date | | of | |
| | | | | Code V | (A) (D) | | | | Shares | |
| Phantom | (1) | 08/05/2014 | | ٨ | 24 | (2) | (3) | Common | 24 | \$ |
| Stock | <u>(1)</u> | 06/03/2014 | | A | 24 | (2) | (3) | Stock | 24 | 36.5844 |

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Augsburger Blake
C/O HARMAN INTERNATIONAL
1101 PENNSYLVANIA AVE, NW, SUITE 1010
WASHINGTON, DC 20004

Signatures

Teresa A. Bartman, Attorney-in-Fact 08/07/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each phantom stock unit exercises into 1 share of Common Stock.
- (2) Phantom Stock is exercisable after the directors' retirement as a Board member.
- (3) Phantom shares expire after the directors' retirement as a Board member.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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