#### WATERS CORP /DE/

Form 4

January 06, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BERENDT MICHAEL J			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			WATERS CORP /DE/ [WAT]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	X Director 10% Owner		
34 MAPLE STREET			01/02/2014	Officer (give title below) Other (specify below)		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
			·	_X_ Form filed by One Reporting Person		
MILFORD, MA 01757				Form filed by More than One Reporting Person		
(City)	(State)	(Zin)				

	1 abit	: 1 - Non-D	erivative	Secur	tues Acq	uirea, Disposea oi	, or Beneficial	y Owned
2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
(Month/Day/Year)	Execution Date, if	Transactio	n(A) or Di	spose	d of (D)	Securities	Form: Direct	Indirect
	any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial
	(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
				(A)		Reported		
						Transaction(s)		
		Code V	Amount		Price	(Instr. 3 and 4)		
01/02/2014(1)			4.000		\$	20.500	ъ	
01/02/2014(1)		M	4,000	Α	33.12	20,500	D	
01/02/2014		A	1,500	A	\$ 0	22,000	D	
	(Month/Day/Year) 01/02/2014(1)	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  01/02/2014(1)	2. Transaction Date (Month/Day/Year) 2A. Deemed 3. Transaction Date, if any Code (Month/Day/Year) (Instr. 8)  Code V  01/02/2014(1) M	2. Transaction Date 2A. Deemed 3. 4. Securi (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 3, (Month/Day/Year) Code (Instr. 8)  Code V Amount M 4,000	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Code (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Price  M 4,000 A \$33.12	2. Transaction Date (Month/Day/Year)    Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    (Instr. 8)    (A) Following Reported Transaction(s) (Instr. 3 and 4)  Code V Amount (D) Price    M 4,000 A \$ 33.12    20,500	(Month/Day/Year)         Execution Date, if any (Month/Day/Year)         Transaction(A) or Disposed of (D) (Code (Instr. 3, 4 and 5))         Securities (D) or (D) or (D) or (Indirect (I))           (Month/Day/Year)         (Instr. 8)         (A) (Instr. 4)         Owned (Instr. 4)           (A) or (Instr. 3 and 4)         (Instr. 3 and 4)         (Instr. 3 and 4)           (D1/02/2014)         (Instr. 3 and 4)         (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: WATERS CORP /DE/ - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	ctiorDerivative Expiration D Securities (Month/Day/		6. Date Exercisable and Expiration Date (Month/Day/Year)		vative Expiration Date urities (Month/Day/Year) uired (A) or osed of (D)		7. Title and A Underlying S (Instr. 3 and	Securiti
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amor or Numl of Share		
Stock Option (Right to Buy)	\$ 33.12	01/02/2014(1)		M		4,000	01/02/2005	01/02/2014	Common Stock	4,00		
Stock Option (Right to Buy)	\$ 99.22	01/02/2014		A	4,000		01/02/2015(3)	01/02/2024	Common Stock	4,00		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BERENDT MICHAEL J 34 MAPLE STREET MILFORD, MA 01757	X						

# **Signatures**

/s/ Michael J

Berendt 01/06/2014

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of common stock was made under a sale plan established pursuant to the requirements of Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- (2) Grant of shares of common stock subject to restrictions that lapse January 2, 2015.
- (3) Options fully vest on January 2, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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