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HAVERTY FURNITURE COMPANIES INC

Form 4

August 29, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HAVERTY RAWSON JR

2. Issuer Name and Ticker or Trading

Symbol

HAVERTY FURNITURE COMPANIES INC [HVT] 5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last)

(Middle) (First)

3. Date of Earliest Transaction

below)

_X__ Director X__ 10% Owner X_ Officer (give title

Senior Vice President

_ Other (specify

780 JOHNSON FERRY RD., SUITE 08/27/2013

(Street)

800

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30342-

							reison		
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	08/27/2013		J <u>(1)</u>	20,000	D	\$0	605,823 (2)	I	By H5, LP
Common Stock	08/27/2013		J <u>(1)</u>	20,000	A	\$0	20,000 (2)	I	By H5, LP
Class A Common Stock							100,451	D	
Class A Common							605,823 (2)	I	By Pine Hill Associates,

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Stock			LLC
Class A Common Stock	11,228	I	Co-ttee Of Tr Fbo Daughter
Class A Common Stock	5,796	I	Co-ttee Of Tr Fbo Son
Common Stock	20,000 (2)	I	By Pine Hill Associates, LLC
Common Stock	1,000	I	Co-ttee Of Tr Fbo Daughter
Common Stock	1,000	I	Co-ttee Of Tr Fbo Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(3)</u>					<u>(3)</u>	(3)	Common Stock	4,900
RSUs 2011-01-27	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	2,500
RSUs 2012	<u>(5)</u>					(5)	<u>(5)</u>	Common Stock	3,750
RSUs 2013	<u>(6)</u>					(6)	<u>(6)</u>		4,500

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Common Stock

Stock

Appreciation \$ 18.14

Rights

01/24/2020 (7)

Common 8,000 Stock

Reporting Owners

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director HAVERTY RAWSON JR 780 JOHNSON FERRY RD. X X Senior Vice President SUITE 800 ATLANTA, GA 30342-

Signatures

Jenny H. Parker, Attorney-in-Fact

08/29/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converted shares of Class A Common Stock to shares of Common Stock.
- These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.
- Restricted Stock Units ("RSUs") award granted under the 2004 Long-Term Incentive Plan. RSUs vest in four yearly installments (10% in (3) each of the first three years and 70% in the fourth), beginning on 5/8/2011. Each RSU is equivalent to one share of common stock upon vesting.
- Restricted Stock Units ("RSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, **(4)** beginning 5/8/2012. Each RSU is equivalent to one share of common stock upon vesting.
- Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, **(6)** beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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