## Edgar Filing: Duke Energy CORP - Form 4

| Duke Energy  | CORP                                    |   |  |   |         |  |  |   |   |  |  |
|--|---|---|--|---|---------|--|--|---|---|--|--|
| Form 4<br>July 03, 2012  | 2                                       |   |  |   |         |  |  |   |   |  |  |
|  |   |   |  |   |         |  |  |   |   |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |   |   |  |   |         |  |  | OMB<br>Number:  | 3235-0287   |  |  |
|  |   |   |  |   |         |  |  |   |   |  |  |
| (Print or Type R   | lesponses)                              |   |  |   |         |  |  |   |   |  |  |
| 1. Name and Ad<br>ROGERS JA  | ymbol                                   | uer Name <b>and</b> Ticker or Trading<br>l<br>Energy CORP [DUK] |  |   |         | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |   |   |  |  |
| (Last)   | (First) (M                              | liddle) 3.  | Date of Earliest T                             | ransaction  |         |  | (Check all applicable)   |   |   |  |  |
| 550 S. TRYO  | ON STREET                               | (Month/Day/Year)<br>06/29/2012                                  |  |   |         | X Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Chairman, President & CEO                                       |  |   |   |  |  |
| CUADIOT  | If Amendment, D<br>led(Month/Day/Yea    | /onth/Day/Year)   |  |   |         | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |   |   |  |  |
| CHARLOII   | ГЕ, NC 28202                            |   |  |   |         |  | Person   |   |   |  |  |
| (City)   | (State) (                               | Zip)  | Table I - Non-                                 | Derivative S  | Securit | ties Acqu  | uired, Disposed of   | , or Beneficial   | y Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | Execution D<br>any  | ate, if Transacti<br>Code<br>/Year) (Instr. 8) | Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5) |         | of (D)<br>)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock  | 06/29/2012                              |   | F  | 10,074  | D       | \$<br>23.06  | 2,005,940  | D   |   |  |  |
| Common<br>Stock  |   |   |  |   |         |  | 20,212   | Ι   | By 401(k)   |  |  |
| Common<br>Stock  |   |   |  |   |         |  | 494,712  | I   | By Spouse   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

### Edgar Filing: Duke Energy CORP - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transacti<br>(Month/Day |          | 3A. Deemed<br>Execution Date,<br>any<br>(Month/Day/Yea | Code<br>ar) (Instr. 8 | 5.<br>tionNumber<br>of<br>) Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 5        | ate   | Amor<br>Unde<br>Secur | Amount or | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|----------------------------|----------|--|-----------------------|--|----------|-------|-----------------------|-----------|---|---|
| Reporting Owners                                    |   |                            |          |  |                       |  |          |       |                       |           |   |   |
| Reporting   | Owner Name /  | / Address                  |          |  |                       |  |          |       |                       |           |   |   |
| reporting   |   | / 11441 055                | Director | r 10% Owner  | Officer               |  |          | Other |                       |           |   |   |
| 550 S. TR   | JAMES E<br>RYON STRI<br>DTTE, NC 2                                    |                            | Х        |  | Chairma               | n, Preside   | nt & CEO |       |                       |           |   |   |

# Signatures

| /s/ David S. Maltz, attorney-in-fact for James E. Rogers | 07/03/2012 |  |  |
|--|------------|--|--|
| **Signature of Reporting Person                          | Date       |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.