Edgar Filing: Burns Robert Stephen - Form 4

Burns Robert	Stephen											
Form 4												
June 11, 2012	2											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO								OMB APPROVAL				
	• • UNITED S	TATES						NGE (COMMISSION	OND	3235-0287	
Check thi	s box		vv as	hington	I, D	9.C. 205	949			Number:	January 31,	
if no long		FNT OF	CHAN	CES IN	R	FNFFL	стат	OW	NFRSHIP OF	Expires:	2005	
subject to			CIIAI	GES IN BENEFICIAL OWNERS SECURITIES				1011			Estimated average	
Section 16. Form 4 or				SECONTIES						burden hours per response 0.5		
Form 5	Filed purs	uant to Se	ection 16	6(a) of th	he S	Securiti	es Ex	chang	e Act of 1934,		0.0	
obligation may conti) of the P	ublic Uti	ility Hol	ldin	ng Com	pany	Act of	f 1935 or Section	n		
See Instru		30(h) c	of the Inv	vestmen	t C	ompany	/ Act	of 194	40			
1(b).												
(Print or Type R	lesponses)											
1. Name and A	ddress of Reporting P	erson *	2 Issuer	Name an	d Ti	icker or T	Tradin	T	5. Relationship of	Reporting Per	son(s) to	
Burns Robert Stephen Symbol				er Name and Ticker or Trading				5	Issuer			
				ARRIS & HARRIS GROUP INC				INC				
			/NY/ [TINY]						(Check all applicable)			
(Last)	(First) (M	iddle)	3. Date of	Earliest T	Tran	saction			Director	10%	Owner	
			(Month/Da	Month/Day/Year)					_X_ Officer (give title Other (specify below)			
1450 BROADWAY			06/11/2012						Senior Vice President			
			4. If Amer	Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Month/Day/Year)					Applicable Line)				
									X Form filed by C			
NEW YORK	K, NY 10018								Person	Iore than One Re	eporung	
(City)	(State) (A	Zip)	Table	e I - Non-l	Der	ivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of	2. Transaction Date	2A. Deem	ned	3.	4	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution	Date, if		action(A) or Disposed of					Form: Direct	Indirect	
(Instr. 3)		any (Month/Day/Year)		Code (D) (Instr. 8) (Instr. 3, 4 and 5)				5)	·		Beneficial Ownership	
		(month) D	uj/ i cui)	(111511.0)	, (i una :	,	Following	(Instr. 4)	(Instr. 4)	
							(A)		Reported			
							or		Transaction(s) (Instr. 3 and 4)			
G				Code V	V.	Amount	(D)	Price	(Insu: 5 and 1)			
Common Stock	06/11/2012			А	2	40,000	А	\$0	40,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date Underlying S (Month/Day/Year) (Instr. 3 and 4		Securities	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Restricted Stock	<u>(1)</u>	06/11/2012		А	60,000	<u>(1)</u>	<u>(1)</u>	Common Stock	60,000

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Reporting Owners

Reporting Owner Name / Address	ess							
I State and a state	Director	10% Owner	Officer	Other				
Burns Robert Stephen 1450 BROADWAY NEW YORK, NY 10018			Senior Vice Presiden	t				
Signatures								
/s/ Jackie Matthews by Power of Attorney	of	06/11/	/2012					
**Signature of Reporting Person		D	ate					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-fifth of the shares of restricted stock vest and settle upon the Company's common stock achieving a market price of \$5, \$6, \$7, \$8, and \$9, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.