## Edgar Filing: JAMISON DOUGLAS W - Form 4

| JAMISON D  | OUGLAS W   |          |  |   |             |                  |   |  |  |                  |  |
|--|--|----------|--|---|-------------|------------------|---|--|--|------------------|--|
| Form 4   |  |          |  |   |             |                  |   |  |  |                  |  |
| April 10, 201  | 2  |          |  |   |             |                  |   |  |  |                  |  |
| FORM 4 LINITED STATES SECURITIES AND EXCHANCE COMMISSION |  |          |  |   |             |                  |   | OMB AF   | OMB APPROVAL   |                  |  |
|  | UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |          |  |   |             |                  |   | COMMISSION   | OMB<br>Number:   | 3235-0287        |  |
| Check thi  |  |          |  |   |             |                  |   |  | Expires:   | January 31,      |  |
| if no long<br>subject to                                 |  | MENT O   | F CHAN   |   |             | CIA              | LOW   | NERSHIP OF   | Estimated a  | 2005<br>Verage   |  |
| Section 16.  |  |          |  | SECUR   | ITIES       |                  |   |  |  | burden hours per |  |
| Form 4 or<br>Form 5                                      |  |          | · · · ·  |   | а ·         | · -              | 1   | A ( C1024  | response   |                  |  |
| obligation   | <b>1</b> 0   |          |  |   |             |                  | •   | e Act of 1934,   |  |                  |  |
| may cont   | inue.  |          |  | vestment  | •           | · ·              |   | 1935 or Section  | 1  |                  |  |
| <i>See</i> Instru<br>1(b).                               | iction   | 50(11)   | or the m   | vestment  | Compan      | y ne             | 101174  | 0  |  |                  |  |
| (Print or Type F   | Responses)   |          |  |   |             |                  |   |  |  |                  |  |
| JAMISON DOUGLAS W S                                      |  |          | 2. Issuer<br>Symbol  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol |             |                  |   | 5. Relationship of Reporting Person(s) to Issuer                     |  |                  |  |
|  |  |          | HARRIS & HARRIS GROUP INC<br>/NY/ [TINY]   |   |             |                  |   | (Check all applicable)   |  |                  |  |
| (Last)   | (First)  | (Middle) | 3. Date of   | Earliest Tra  | ansaction   |                  |   | _X_ Director   |  | Owner            |  |
|  |  |          |  | onth/Day/Year)<br>/10/2012                            |             |                  |   | XOfficer (give titleOther (specify<br>below) below)<br>Chairman, CEO |  |                  |  |
|  | (Street)   |          | 4 If A mo  | ndmant Da   | to Original |                  |   |  | ,  | g(Chaolr         |  |
| · · · · · · · · · · · · · · · · · · ·                    |  |          | mendment, Date Original<br>Ionth/Day/Year)   |   |             |                  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |  |                  |  |
| NEW YOR  | K, NY 10018  |          |  |   |             |                  |   | Form filed by M<br>Person  |  |                  |  |
| (City)   | (State)  | (Zip)    | Table  | e I - Non-D   | erivative   | Secur            | ities Acq   | uired, Disposed of   | , or Beneficial  | ly Owned         |  |
| 1.Title of<br>Security<br>(Instr. 3)                     | any  |          | ned 3. 4. Securitie<br>n Date, if Transaction(A) or Disp<br>Code (Instr. 3, 4)<br>Day/Year) (Instr. 8) |   |             | -                |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following     | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                  |  |
|  |  |          |  | Code V  | Amount      | (A)<br>or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |  |                  |  |
| Common<br>Stock  | 04/10/2012   |          |  | P <u>(1)</u>  | 570         | A                | \$<br>4.094   | 60,847   | D  |                  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>of Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) | (Month/Day/Year)<br>ative<br>ities<br>ired<br>r<br>ssed |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |  | Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---------------------------------------|---|---|--------------------|---|--|--------------------------------------|--|
|   |   |   | Code V                                | (Instr. 3,<br>4, and 5)<br>(A) (D)  | Date<br>Exercisable                                     | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                      |  |

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## **Reporting Owners**

| Reporting Owner Name / Addres  | s          | Relationships |               |       |  |  |  |  |  |
|--|------------|---------------|---------------|-------|--|--|--|--|--|
|  | Director   | 10% Owner     | Officer       | Other |  |  |  |  |  |
| JAMISON DOUGLAS W<br>1450 BROADWAY<br>FLOOR 24<br>NEW YORK, NY 10018 | Х          |               | Chairman, CEO |       |  |  |  |  |  |
| Signatures   |            |               |               |       |  |  |  |  |  |
| /s/ Douglas W.<br>Jamison  | 04/10/2012 |               |               |       |  |  |  |  |  |
| **Signature of Reporting   | Date       |               |               |       |  |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to an Employee Stock Purchase Plan adopted by the reporting person on June 9, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Person