## Edgar Filing: JAMISON DOUGLAS W - Form 4

JAMISON D	OUGLAS W										
Form 4											
January 10, 2											
FORM	14 UNITED	остатес	SECUE	TTIES A	ND EV		NCEC	OMMISSION		PPROVAL	
	UNITED	SIAILS		shington,			INGE U	UNINI551UN	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru	6. r Filed pu ns inue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Image: System state structure     January 31       Expires:     2005       Estimated average     burden hours per       burden hours per     0.5       1     1	
1(b).											
(Print or Type I	Responses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol HARRIS & HARRIS GROUP INC /NY/ [TINY]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Mo			(Month/D	3. Date of Earliest Transaction Month/Day/Year) 01/09/2012				X Director 10% Owner X Officer (give title Other (specify below) below) Chairman, CEO			
			endment, Date Original onth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
NEW YOR	K, NY 10018							Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ty (Month/Day/Year) Execution Date, if		(A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	01/09/2012			P <u>(1)</u>	395	А	\$ 3.507	58,877	D		
Common Stock	01/09/2012			P <u>(1)</u>	200	А	\$ 3.51	59,077	D		
Common Stock	01/09/2012			P <u>(1)</u>	100	A	\$ 3.5	59,177	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addres	is	Relationships							
	Director	10% Owner	Officer	Other					
JAMISON DOUGLAS W 1450 BROADWAY FLOOR 24 NEW YORK, NY 10018	Х		Chairman, CEO						
Signatures									
/s/ Douglas W. Jamison	01/10/2012								
**Signature of Reporting	Date								

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to an Employee Stock Purchase Plan adopted by the reporting person on June 9, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Person