Edgar Filing: SHEWMAKER BRUCE W - Form 4

| SHEWMAK Form 4 November 14 | ER BRUCE W 4, 2011 | | | | | | | | | | |
|--|---|-------|--|---|------------|------------------|---------------|---|--|---|--|
| | 1 / | | | | | | | | OMB AP | PROVAL | |
| FORM 4 UNITED STATES SE | | | | RITIES A shington, | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Section 16. Form 4 or | | | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | Expires:January 31 200Estimated averageburden hours per response0. | | |
| Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| SHEWMAKER BRUCE W Symbol HAF | | | Symbol HARRI | issuer Name and Ticker or Trading bol RRIS & HARRIS GROUP INC [/ [TINY] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 3. Date of (Month/1 1450 BROADWAY 11/14/2 (Street) 4. If Amo | | | 3. Date of | te of Earliest Transaction hth/Day/Year) 4/2011 | | | | _X_ Director Officer (give t below) | | Owner r (specify | |
| | | | | endment, Da hth/Day/Year | - | 1 | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| NEW YOR | K, NY 10018 | | | | | | | Form filed by Me Person | ore than One Rep | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | Derivative | Secur | ities Acqu | ired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| G | | | | Code V | Amount | (A) or (D) | Price \$ | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 11/14/2011 | | | P <u>(1)</u> | 770 | А | 3.8174 (2) | 2,770 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| De Se | Title of erivative curity astr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | le and ant of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|----------|---|---|---|--------------------------------------|--|---------------------|--------------------|------------------------|---|---|--|
| | | | | Code V | 7 (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------|-----|--|--|--|--|
| reporting officer runner runners | Director | 10% Owner | Officer | Oth | | | | |
| SHEWMAKER BRUCE W 1450 BROADWAY NEW YORK, NY 10018 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Jackie Matthews by Power of Attorney | of | 11/14 | /2011 | | | | | |
| **Signature of Reporting Person | | D | ate | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in this Form 4 was effected pursuant to a Rule 10b5-1 purchase plan.
- Shares were purchased for an average price of \$3.8174 with share prices ranging from \$3.79 to \$3.82. The reporting person will provide(2) full information regarding the number of shares purchased at each separate price upon request by the SEC staff, the issuer, or a security holder of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.