Edgar Filing: DUGAS RICHARD J JR - Form 4

DUGAS RIC	CHARD J JR										
Form 4											
February 09,	_								OMB A	PPROVAL	
FORM	4 UNITEI) STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check thi				8,					Expires:	January 31,	
Subject to Section 16. Form 4 or				GES IN BENEFICIAL OWN SECURITIES					Estimated a burden hou response	rs per	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 17	7(a) of the	Public Ut		ling Com	pany	Act of	e Act of 1934, E 1935 or Sectio 40	n		
(Print or Type R	Responses)										
DUGAS RICHARD J JR Symb				Name and		·	-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Chec	neck all applicable)		
100 BLOOMFIELD HILLS(Month/E 02/07/2PKY., SUITE 300				Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below) Pres. and Chief Exec. Officer			
				ndment, Date Original th/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
								Person			
(City)	(State)	(Zip)	Table	e I - Non-D			-	uired, Disposed of		•	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	02/07/2011			F <u>(1)</u>	38,577	D	\$ 7.54	732,402	D		
Common Stock								40,612	I	Susan Dugas Trust (spouse)	
Units								8,366.974 <u>(2)</u>	Ι	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed		ate	7. Title Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
				of (D) (Instr. 3, 4, and 5)						(Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
F	Director	10% Owner	Officer	Other			
DUGAS RICHARD J JR 100 BLOOMFIELD HILLS PKY. SUITE 300 BLOOMFIELD HILLS, MI 48304	Х		Pres. and Chief Exec. Officer				
Signatures							

/s/ Richard J. 02/09/2011 Dugas, Jr. **Signature of Reporting Date

Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were surrendered to issuer to cover tax obligations on common shares on which the restrictions have lapsed.

Represents units of the PulteGroup, Inc. Stock Fund (the Fund) of the PulteGroup, Inc. 401(k) Plan. The Fund consists of cash and Common Stock in amounts that vary from time to time. On March 5, 2010, the reporting person was required to receive a corrective

(2)distribution of 69.083 units due to the plan not meeting certain non-discrimination requirements during 2009. The reporting person's units represent shares of PulteGroup, Inc. CommonStock held in the Fund as of 1/31/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.