#### TOMPKINS FINANCIAL CORP

Form 4 April 28, 2010

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* **FULMER JAMES W** 

(First)

(Middle)

TOMPKINS FINANCIAL CORPORATION, P O BOX 460

(Street)

ITHACA, NY 14851

Symbol

TOMPKINS FINANCIAL CORP [TMP]

2. Issuer Name and Ticker or Trading

(Month/Day/Year) 04/27/2010

3. Date of Earliest Transaction

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

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January 31,

2005

0.5

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Number:

Expires:

response...

\_X\_ Director 10% Owner X\_ Officer (give title Other (specify below)

Vice Chairman

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion Dispo (Instr. 3,	sed of	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/27/2010		Code V M	Amount 3,303	(D)	Price \$ 18.1818	(Instr. 3 and 4) 55,359	D	
Common Stock	04/27/2010		M	1,531	A	\$ 18.1818	56,890	D	
Common Stock	04/27/2010		M	1,065	A	\$ 18.1818	57,955	D	
Common Stock	04/27/2010		F	2,655	D	\$ 40.39	55,300	D	
Common Stock	04/27/2010		S	378	D	\$ 40.39	54,922	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares	
Incentive Stock Option (Right to Buy)	\$ 18.1818	04/27/2010		M	3,30	3 (1)	09/14/2010	Common Stock	3,303	
Non-qualified Stock Option (Right to Buy)	\$ 18.1818	04/27/2010		M	1,53	1 (1)	09/14/2010	Common Stock	1,531	
Non-qualified Stock Option (Right to Buy)	\$ 18.1818	04/27/2010		M	1,06	5 (1)	09/14/2010	Common Stock	1,065	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
copyrous o when I wante / I wante out	Director	10% Owner	Officer	Other		
FULMER JAMES W TOMPKINS FINANCIAL CORPORATION P O BOX 460 ITHACA, NY 14851	X		Vice Chairman			

# **Signatures**

/s/ James W. Fulmer	04/28/2010
**Signature of Reporting Person	Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option becomes exercisable in four annual installments commencing two years after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.