

WACHOVIA CORP NEW

Form 3

June 26, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Clark Ranjana B

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/19/2007

3. Issuer Name and Ticker or Trading Symbol
WACHOVIA CORP NEW [WB]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SEVP & Chief Marketing Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonONE WACHOVIA
CENTER, 301 S. COLLEGE
STREET

(Street)

CHARLOTTE, NC 28270

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

27,736 ⁽¹¹⁾

D

A

Common Stock

60.21

I

401K

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
ESOP (Right to Buy) (1)	01/22/2000 ⁽²⁾	01/22/2009	Common Stock	5,498	\$ 42.91	D	Â
ESOP (Right to Buy) (1)	01/28/2001 ⁽³⁾	01/28/2010	Common Stock	3,444	\$ 32	D	Â
ESOP (Right to Buy) (4)	04/16/2003 ⁽⁵⁾	04/16/2012	Common Stock	35,000	\$ 37.98	D	Â
ESOP (Right to Buy) (4)	04/22/2004 ⁽⁶⁾	04/22/2013	Common Stock	29,687	\$ 37.43	D	Â
ESOP (Right to Buy) (4)	04/19/2005 ⁽⁷⁾	04/19/2014	Common Stock	12,381	\$ 44.65	D	Â
ESOP (Right to Buy) (4)	04/18/2006 ⁽⁸⁾	04/18/2015	Common Stock	11,717	\$ 50.38	D	Â
ESOP (Right to Buy) (4)	03/31/2007 ⁽⁹⁾	03/31/2016	Common Stock	14,240	\$ 56.05	D	Â
ESOP (Right to Buy) (4)	02/20/2008 ⁽¹⁰⁾	02/28/2017	Common Stock	7,055	\$ 58.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Clark Ranjana B ONE WACHOVIA CENTER 301 S. COLLEGE STREET CHARLOTTE, NC 28270	Â	Â	Â SEVP & Chief Marketing Officer	Â

Signatures

Ranjana B. 06/26/2007
Clark

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one year is limited to \$100,000 dollars.
- (2) The option becomes exercisable in 20% increments on each of Jan. 22, 2000, 2001, 2002, 2003, and 2004 respectively.
- (3) The option becomes exercisable in 20% increments on each of Jan. 28, 2001, 2002, 2003, 2004 and 2005 respectively.

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- (4) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (5) The option vest in 3 equal installments beginning 4/16/2003.
- (6) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 4/22/2004.
- (7) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 4/29/2005.
- (8) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 4/18/2006.
- (9) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 3/31/2007.
- (10) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 2/20/2008.
- (11) Includes 16,386 shares of unvested restricted stock to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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