### Edgar Filing: Reed Thomas D. - Form 4

Reed Thomas D. Form 4 March 06, 2019 <b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction						ERSHIP OF Act of 1934, 1935 or Sectior	OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: January 31, 2005 Estimated average burden hours per response 0.5				
1(b). (Print or Type Responses)											
1. Name and A Reed Thom	Symbol	er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer					
(Last) (First) (Middle) 3. Date of			of Earliest Transaction /Day/Year)				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) <u>below</u> ) Chief Science Officer				
GERMAN		endment, Date Original onth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
(City)	(State) (Zip)	Table I	- Non-De	erivative Se	curiti	es Acai	Person iired, Disposed of,	or Beneficial	lv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. D (Month/Day/Year) Execu any (Mont	eemed 3. ion Date, if The Control of Control	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common		C	Code V	Amount	(D)	Price ¢	(Instr. 3 and 4)				
Stock	03/04/2019	S	<u>S(1)</u>	16,500	D	\$ 4.24	62,840	Ι	By trust (2)		
Common Stock	03/04/2019	S	<u>S(1)</u>	165,000	D	\$ 4.17	88,005	I	By trust of spouse $(3)$		
Common Stock	03/04/2019	S	<u>S(1)</u>	44,000	D	\$ 4.22	44,005	Ι	By trust of spouse $\frac{(3)}{2}$		
Common Stock	03/05/2019	S	<u>S(1)</u>	2,300	D	\$ 4.66	41,705	Ι	By trust of spouse $(3)$		
Common Stock							96,280	D			

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Ktatio	nsmps	
	Director	10% Owner	Officer	Other
Reed Thomas D. 20374 SENECA MEADOWS PARKWAY GERMANTOWN, MD 20876			Chief Science Officer	
Signatures				

/s/ Thomas D. Reed, by Donald P. Lehr, as attorney-in-fact

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale undertaken by reporting person's lender to whom the shares were pledged.
- (2) Held by the Thomas David Reed Living Trust dated February 4, 2011.
- (3) Held by the Jacquelyn Ann Reed Living Trust dated February 4, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

# **Reporting Owners**

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Reminder: Report on a separate line for each class of securities ber	eneficially owned directly or indirectly.
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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e s			le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Relationships

03/06/2019

Date

Common Stock

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By spouse
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