

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

Kayne Anderson MLP Investment CO
Form NSAR-A
January 29, 2014

PAGE 1
000 A000000 11/30/2013
000 C000000 0001293613
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 KAYNE ANDERSON MLP INVESTMENT COMPANY
001 B000000 811-21593
001 C000000 8776573863
002 A000000 811 MAIN STREET, 14TH FLOOR
002 B000000 HOUSTON
002 C000000 TX
002 D010000 77002
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 KA FUND ADVISORS, LLC
008 B000001 A
008 C000001 801-67089
008 D010001 HOUSTON
008 D020001 TX
008 D030001 77002
010 A000001 ULTIMUS FUND SOLUTIONS, LLC
010 B000001 84-58290
010 C010001 CINCINNATI
010 C020001 OH
010 C030001 45246
011 A000001 CITIGROUP GLOBAL MARKETS INC.
011 B000001 8-8177
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10022
011 A000002 UBS SECURITIES LLC
011 B000002 8-22651
011 C010002 NEW YORK
PAGE 2
011 C020002 NY
011 C030002 10019
011 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
011 B000003 8-7221
011 C010003 NEW YORK
011 C020003 NY

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

011 C030003 10080
011 A000004 MORGAN STANLEY & CO. LLC
011 B000004 8-15869
011 C010004 NEW YORK
011 C020004 NY
011 C030004 10036
011 A000005 WELLS FARGO SECURITIES, LLC
011 B000005 8-65876
011 C010005 CHARLOTTE
011 C020005 NC
011 C030005 28202
011 A000006 ROBERT W. BAIRD & CO. INCORPORATED
011 B000006 8-49700
011 C010006 MILWAUKEE
011 C020006 WI
011 C030006 53202
011 A000007 RBC CAPITAL MARKETS, LLC
011 B000007 8-45411
011 C010007 NEW YORK
011 C020007 NY
011 C030007 10281
011 A000008 STIFEL NICOLAUS & COMPANY, INCORPORATED
011 B000008 8-1447
011 C010008 ST LOUIS
011 C020008 MO
011 C030008 63102
011 A000009 BARCLAYS CAPITAL INC.
011 B000009 8-51376
011 C010009 NEW YORK
011 C020009 NY
011 C030009 10019
011 A000010 DEUTSCHE BANK SECURITIES INC.
011 B000010 8-74042
011 C010010 NEW YORK
011 C020010 NY
011 C030010 10005
011 A000011 DELETE
011 A000012 DELETE
011 A000013 DELETE
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO., LLC
012 B000001 84-00416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 PRICEWATERHOUSECOOPERS LLP
PAGE 3
013 B010001 LOS ANGELES
013 B020001 CA
013 B030001 90071
014 A000001 KA ASSOCIATES, INC.
014 B000001 8-45640
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 DALLAS
015 C020001 TX
015 C030001 75254
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 4
019 C000000 KAYNEANDER
020 A000001 CITIGROUP GLOBAL MARKETS INC.

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

020 B000001 11-2418191
020 C000001 277
020 A000002 MORGAN STANLEY & CO. LLC
020 B000002 13-2655998
020 C000002 201
020 A000003 JONES TRADING INSTITUTIONAL SERVICES LLC
020 B000003 51-0484896
020 C000003 151
020 A000004 BANC OF AMERICA SECURITIES LLC
020 B000004 56-2058405
020 C000004 142
020 A000005 LIQUIDNET, INC.
020 B000005 13-4095933
020 C000005 134
020 A000006 BARCLAYS CAPITAL INC.
020 B000006 06-1031656
020 C000006 115
020 A000007 INSTINET, LLC
020 B000007 13-2625132
020 C000007 94
020 A000008 WELLS FARGO SECURITIES, LLC
020 B000008 56-2326000
020 C000008 64
020 A000009 RAYMOND JAMES & ASSOCIATES, INC.
020 B000009 59-1237041
020 C000009 63
020 A000010 GOLDMAN, SACHS & CO.
020 B000010 13-5108880
020 C000010 62
021 000000 1840
022 A000001 BARCLAYS CAPITAL INC.
022 B000001 06-1031656
022 C000001 105953
022 D000001 0
022 A000002 CITIGROUP GLOBAL MARKETS INC.
PAGE 4
022 B000002 11-2418191
022 C000002 45507
022 D000002 4074
022 A000003 WELLS FARGO SECURITIES, LLC
022 B000003 56-2326000
022 C000003 23166
022 D000003 0
022 A000004 CREDIT SUISSE FIRST BOSTON (USA) LLC
022 B000004 05-0546650
022 C000004 11691
022 D000004 0
022 A000005 BANC OF AMERICA SECURITIES LLC
022 B000005 56-2058405
022 C000005 5498
022 D000005 4305
022 A000006 J.P. MORGAN SECURITIES LLC
022 B000006 13-3299429
022 C000006 4945
022 D000006 0
022 A000007 RBC CAPITAL MARKETS LLC
022 B000007 41-1228350
022 C000007 190
022 D000007 0
022 A000008 TUDOR, PICKERING, HOLT & CO., LLC
022 B000008 32-0193581
022 C000008 110

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

| | | |
|-----|---------|--------|
| 022 | D000008 | 0 |
| 022 | C000009 | 0 |
| 022 | D000009 | 0 |
| 022 | C000010 | 0 |
| 022 | D000010 | 0 |
| 023 | C000000 | 197060 |
| 023 | D000000 | 8379 |
| 024 | 000000 | N |
| 025 | A000001 | DELETE |
| 025 | D000001 | 0 |
| 025 | D000002 | 0 |
| 025 | D000003 | 0 |
| 025 | D000004 | 0 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| 025 | D000008 | 0 |
| 025 | D000009 | 0 |
| 025 | D000010 | 0 |
| 025 | D000011 | 0 |
| 025 | D000012 | 0 |
| 025 | D000013 | 0 |
| 025 | D000014 | 0 |
| 025 | D000015 | 0 |
| 025 | D000016 | 0 |
| | PAGE | 5 |
| 025 | D000017 | 0 |
| 025 | D000018 | 0 |
| 025 | D000019 | 0 |
| 025 | D000020 | 0 |
| 025 | D000021 | 0 |
| 025 | D000022 | 0 |
| 025 | D000023 | 0 |
| 025 | D000024 | 0 |
| 025 | D000025 | 0 |
| 025 | D000026 | 0 |
| 025 | D000027 | 0 |
| 025 | D000028 | 0 |
| 025 | D000029 | 0 |
| 025 | D000030 | 0 |
| 025 | D000031 | 0 |
| 025 | D000032 | 0 |
| 025 | D000033 | 0 |
| 025 | D000034 | 0 |
| 025 | D000035 | 0 |
| 025 | D000036 | 0 |
| 025 | D000037 | 0 |
| 025 | D000038 | 0 |
| 025 | D000039 | 0 |
| 025 | D000040 | 0 |
| 025 | D000041 | 0 |
| 025 | D000042 | 0 |
| 025 | D000043 | 0 |
| 025 | D000044 | 0 |
| 025 | D000045 | 0 |
| 025 | D000046 | 0 |
| 025 | D000047 | 0 |
| 025 | D000048 | 0 |
| 025 | D000049 | 0 |
| 025 | D000050 | 0 |
| 025 | D000051 | 0 |
| 025 | D000052 | 0 |

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

| | | |
|-----|---------|------|
| 025 | D000053 | 0 |
| 025 | D000054 | 0 |
| 025 | D000055 | 0 |
| 025 | D000056 | 0 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| | PAGE | 6 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

| | | |
|-----|---------|---------|
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| | PAGE | 7 |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 4500000 |
| 048 | A020000 | 1.375 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 4500000 |
| 048 | K020000 | 1.250 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | N |
| 055 | B000000 | Y |
| 056 | 000000 | Y |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | N |
| 060 | B000000 | N |
| | PAGE | 8 |
| 061 | 000000 | 0 |
| 062 | A000000 | N |
| 062 | B000000 | 0.0 |
| 062 | C000000 | 0.0 |

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
PAGE 9
070 J020000 Y
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 N
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 N
070 P020000 N
070 Q010000 Y

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

| | | | |
|--------|---------|----|---------|
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 1907962 |
| 071 | B000000 | | 1156609 |
| 071 | C000000 | | 5465542 |
| 071 | D000000 | | 21 |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 275 |
| 072 | C000000 | | 39728 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 73968 |
| 072 | G000000 | | 982 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 23 |
| 072 | J000000 | | 543 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 413 |
| 072 | M000000 | | 424 |
| 072 | N000000 | | 120 |
| 072 | O000000 | | -30289 |
| 072 | P000000 | | 40805 |
| 072 | Q000000 | | 246 |
| 072 | R000000 | | 404 |
| 072 | S000000 | | 157 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 23157 |
| 072 | X000000 | | 110953 |
| 072 | Y000000 | | 1099 |
| 072 | Z000000 | | -69851 |
| 072AA | 000000 | | 202524 |
| 072BB | 000000 | | 0 |
| 072CC0 | 10000 | | 403798 |
| 072CC0 | 20000 | | 0 |
| | PAGE | 10 | |
| 072DD0 | 10000 | | 144672 |
| 072DD0 | 20000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 1.5400 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.7500 |
| 074 | A000000 | | 257 |
| 074 | B000000 | | 0 |
| 074 | C000000 | | 0 |
| 074 | D000000 | | 0 |
| 074 | E000000 | | 28879 |
| 074 | F000000 | | 6201286 |
| 074 | G000000 | | 0 |
| 074 | H000000 | | 0 |
| 074 | I000000 | | 0 |
| 074 | J000000 | | 9060 |
| 074 | K000000 | | 0 |
| 074 | L000000 | | 3094 |
| 074 | M000000 | | 14702 |
| 074 | N000000 | | 6257278 |
| 074 | O000000 | | 1933 |
| 074 | P000000 | | 20217 |
| 074 | Q000000 | | 1175000 |

Edgar Filing: Kayne Anderson MLP Investment CO - Form NSAR-A

| | | |
|-----------|---------------|---------------------------------------|
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 1167212 |
| 074 | S000000 | 449000 |
| 074 | T000000 | 3443916 |
| 074 | U010000 | 100419 |
| 074 | U020000 | 0 |
| 074 | V010000 | 34.30 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 55 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 3027563 |
| 076 | 000000 | 37.23 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | I000000 | Y |
| 077 | Q010000 | Y |
| 080 | A000000 | FEDERAL INSURANCE COMPANY |
| 080 | C000000 | 2500 |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| | PAGE | 11 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 11987 |
| 086 | A020000 | 401425 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 7000 |
| 086 | C020000 | 175000 |
| 086 | D010000 | 4000 |
| 086 | D020000 | 100000 |
| 086 | E010000 | 410000 |
| 086 | E020000 | 410000 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | KAYNE ANDERSON MLP INVESTMENT COMPANY |
| 087 | A020000 | 486606106 |
| 087 | A030000 | KYN |
| 088 | A000000 | Y |
| 088 | B000000 | N |
| 088 | C000000 | Y |
| 088 | D000000 | Y |
| SIGNATURE | TERRY A. HART | |
| TITLE | CFO | |