

PNM RESOURCES INC
Form 8-K/A
February 04, 2019

UNITED STATES
SECURITIES AND
EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K/A
(Amendment No. 1)
CURRENT REPORT

PURSUANT TO SECTION 13
OR 15(d) OF THE
SECURITIES EXCHANGE
ACT OF 1934

Date of Report (Date of earliest event reported) February 1, 2019 (January 29, 2019)

Commission	Name of Registrants, State of Incorporation, Address of Principle Executive Offices and Telephone Number	I.R.S. Employer
File Number		Identification No.
001-32462	PNM Resources, Inc. (A New Mexico Corporation)	85-0468296

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Ave. SW
Albuquerque,
New Mexico
87102-3289
(505)
241-2700

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 40.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4 (c) under the Exchange Act (17 CFR 40.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

In the Current Report on Form 8-K filed by PNM Resources, Inc. (the “Company”) on December 11, 2018, the Company reported that Vicky A. Bailey and James A. Hughes were elected to the Company’s Board of Directors (the “Board”), effective January 1, 2019. This Amendment No. 1 to that Form 8-K is being filed solely to report that the Board appointed Ms. Bailey as a member of its Nominating and Governance Committee and Mr. Hughes as a member of its Finance Committee, both effective January 29, 2019. Further, Ms. Bailey and Mr. Hughes are appointed as members of the Audit and Ethics Committee, effective March 1, 2019.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

PNM RESOURCES, INC.
(Registrant)

Date: February 1, 2019 /s/ Joseph D. Tarry
Joseph D. Tarry
Vice President, Controller and Treasurer
(Officer duly authorized to sign this report)