JD.com, Inc. Form SC 13G/A February 01, 2019

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

#### **SCHEDULE 13G**

(Rule 13d-102)

Information to Be Included in Statements Filed Pursuant to § 240.13d-1 (b), (c) and (d) and Amendments Thereto Filed Pursuant to § 240.13d-2

**Under the Securities Exchange Act of 1934** 

(Amendment No. 4)\*

## JD.com, Inc.

(Name of Issuer)

Ordinary Shares, par value of \$0.00002 per share

(Title of Class of Securities)

47215P 106

(CUSIP Number)

December 31, 2018

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- o Rule 13d-1(b)
- o Rule 13d-1(c)
- x Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

	Names of Reporting Persons Richard Qiangdong Liu	3				
2	Check the Appropriate Box if a Member of a Group  (a) o  (b) o					
3	SEC Use Only					
4	Citizenship or Place of Orga People s Republic of China					
		5	Sole Voting Power 486,142,517			
Numbe Shares Benefic Owned	cially by ing	6	Shared Voting Power 0			
Each Reporti Person		7	Sole Dispositive Power 449,307,423			
		8	Shared Dispositive Power  0			
9	Aggregate Amount Beneficially Owned by Each Reporting Person  486,142,517 (This includes 36,835,094 shares beneficially owned by Fortune Rising Holdings Limited, which holds and administers these shares for the benefit of employees of the Issuer under the Issuer's Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself). Mr. Liu can exercise the voting power with respect to these shares on behalf of Fortune Rising Holdings Limited, but does not have any economic interest in these shares. Mr. Richard Qiangdong Liu did not dispose of any ordinary shares or ADSs of the Issuer in 2018. See Item 4.)					
10	Check if the Aggregate Amount in Row (9) Excludes Certain Shares o					
11	Percent of Class Represented by Amount in Row (9)  16.7%. *The voting power of the shares beneficially owned represent 79.1% of the total outstanding voting power. **					
12	Type of Reporting Person  IN					

<sup>\*</sup> The percentage of voting power is calculated by dividing the voting power beneficially owned by the Reporting Person by the voting power of all of the Issuer's holders of Class A ordinary shares and Class B ordinary shares as a single class as of December 31, 2018 (taking into account the number of shares that the Reporting Person had the right to acquire upon exercise of options that shall have become vested by 60 days after December 31, 2018). Each holder of Class A ordinary shares is entitled to one vote per share and each holder of Class B Shares is entitled to twenty votes per share on all matters submitted to them for a vote.

<sup>\*\*</sup> During the third and fourth quarters of 2018, Fortune Rising Holdings Limited sold an aggregate of 648,017 ADSs in the open market on behalf of certain employees of the Issuer (which do not include Mr. Richard Qiangdong Liu). Fortune Rising Holdings Limited holds the Class B ordinary shares of the Issuer for the purpose of transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer s Share Incentive Plan, and administers the awards and acts according to the Issuer s instructions. However, the logistical procedures relating to the conversion of 1,296,034 Class B ordinary shares held by Fortune Rising Holdings Limited into 648,017 ADSs had not been completed as of December 31, 2018. Assuming such logistics had been completed as of December 31, 2018, Mr. Liu would have beneficially owned a total of 484,846,483 ordinary shares, representing 16.6% of the total outstanding ordinary shares of the Issuer, and the voting power of the shares beneficially owned represented 79.1% of the total outstanding voting power. See Item 4.

	Names of Reporting Persons Max Smart Limited				
2	Check the Appropriate Box if a Member of a Group  (a) o  (b) o				
3	SEC Use Only				
4	Citizenship or Place of Orga British Virgin Islands	nization			
	<u> </u>		Sole Voting Power		
		5	441,507,423		
	C		Shared Voting Power		
Numbe Shares Benefic Owned	ially	6	0		
Owned by Each Reporting Person With:		7	Sole Dispositive Power 441,507,423		
		8	Shared Dispositive Power		
	Aggregate Amount Benefici	ally Owned by Each Rep	oorting Person		
9	441,507,423 *				
	Check if the Aggregate Amount in Row (9) Excludes Certain Shares o				
	Percent of Class Represented by Amount in Row (9)				
11	15.2%. The voting power of the shares beneficially owned represent 72.7% of the total outstanding voting power. **				
	Type of Reporting Person	and shares senericianly 0			
12	Type of Reporting reison				
	со				

 $<sup>^{*}</sup>$  Mr. Richard Qiangdong Liu did not dispose of any ordinary shares or ADSs of the Issuer in 2018.

\*\* The percentage of voting power is calculated by dividing the voting power beneficially owned by the Reporting Person by the voting power of all of the Issuer s holders of Class A ordinary shares and Class B ordinary shares as a single class as of December 31, 2018. Each holder of Class A ordinary shares is entitled to one vote per share and each holder of Class B Shares is entitled to twenty votes per share on all matters submitted to them for a vote.

	Names of Reporting Persons Fortune Rising Holdings Lin					
2	Check the Appropriate Box if a Member of a Group  (a) o  (b) o					
3	SEC Use Only					
4	Citizenship or Place of Orga	nnization				
	British Virgin Islands		Sole Voting Power			
		5	36,835,094			
Number of Shares Beneficially Owned by		6	Shared Voting Power  0			
Each Reporti Person	ing	7	Sole Dispositive Power 36,835,094			
		8	Shared Dispositive Power 0			
9	Aggregate Amount Beneficially Owned by Each Reporting Person  36,835,094 (Fortune Rising Holdings Limited holds and administers these shares for the benefit of employees of the Issuer under the Issuer s Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself). Mr. Liu can exercise the voting power with respect to these shares on behalf of Fortune Rising Holdings Limited, but does not have any economic interest in these shares. Mr. Richard Qiangdong Liu did not dispose of any ordinary shares or ADSs of the Issuer in 2018. See Item 4.)					
	Check if the Aggregate Amount in Row (9) Excludes Certain Shares o					
11	Percent of Class Represented by Amount in Row (9)  1.3%. **The voting power of the shares beneficially owned represent 6.3% of the total outstanding voting power. ***					
12	Type of Reporting Person					
	CO					

\* Fortune Rising Holdings Limited, a British Virgin Islands company, holds and administers the Class B ordinary shares of the Issuer for the benefit of plan participants under the Issuer s Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself), such as transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer s Share Incentive Plan, and administers the awards and acts according to the Issuer s instructions. See Item 4.

\*\* The percentage of voting power is calculated by dividing the voting power beneficially owned by the Reporting Person by the voting power of all of the Issuer s holders of Class A ordinary shares and Class B ordinary shares as a single class as of December 31, 2018. Each holder of Class A ordinary shares is entitled to one vote per share and each holder of Class B ordinary shares is entitled to twenty votes per share on all matters submitted to them for a vote.

\*\*\* During the third and fourth quarters of 2018, Fortune Rising Holdings Limited sold an aggregate of 648,017 ADSs in the open market on behalf of certain employees of the Issuer (which do not include Mr. Richard Qiangdong Liu). Fortune Rising Holdings Limited holds the Class B ordinary shares of the Issuer for the purpose of transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer s Share Incentive Plan, and administers the awards and acts according to the Issuer s instructions. However, the logistical procedures relating to the conversion of 1,296,034 Class B ordinary shares held by Fortune Rising Holdings Limited into 648,017 ADSs had not been completed as of December 31, 2018. Assuming such logistics had been completed as of December 31, 2018, Fortune Rising Holdings Limited would have held 35,539,060 Class B ordinary shares, representing 1.2% of the total outstanding ordinary shares of the Issuer, and the voting power of the shares beneficially owned represented 6.1% of the total outstanding voting power. See Item 4.

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Item 1(a).	Name of Issuer:		
JD.com, Inc.			
Item 1(b).	Address of Issuer s Principal Executive Offices:		
20th Floor, Buildir	ng A		
No. 18 Kechuang	11 Street		
Yizhuang Econom Daxing District, Bo	ic and Technological Development Zone eijing 101111		
The People s Rep	ublic of China		
Item 2(a).	Name of Person Filing:		
Richard Qiangdon	g Liu		
Max Smart Limited	d		
Fortune Rising Ho	ldings Limited		
Item 2(b).	Address of Principal Business Office, or, if none, Residence:		
Richard Qiangdong Liu			
JD.com, Inc.			
20th Floor, Building A			
No. 18 Kechuang 11 Street			
Yizhuang Economic and Technological Development Zone Daxing District, Beijing 101111			
The People s Republic of China			

M. C. Ali in I
Max Smart Limited
c/o Richard Qiangdong Liu
JD.com, Inc.
20th Floor, Building A
No. 18 Kechuang 11 Street
Yizhuang Economic and Technological Development Zone Daxing District, Beijing 101111
The People s Republic of China
Fortune Rising Holdings Limited
c/o Richard Qiangdong Liu
JD.com, Inc.
20th Floor, Building A
No. 18 Kechuang 11 Street
Yizhuang Economic and Technological Development Zone Daxing District, Beijing 101111
The People s Republic of China
Item 2(c). <u>Citizenship</u> :
Richard Qiangdong Liu People s Republic of China
Max Smart Limited British Virgin Islands
Fortune Rising Holdings Limited British Virgin Islands
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Item 2(d).	Title of Class of Securities:
Ordinary shares, pa	ar value of \$0.00002 per share
Class B ordinary entitled to one v convertible at th	ary shares consist of Class A ordinary shares and Class B ordinary shares. Holders of Class A ordinary shares and y shares have the same rights except for voting and conversion rights. Each Class A ordinary share is ote, and each Class B ordinary share is entitled to twenty votes. Each Class B ordinary share is e option of the holder into one Class A ordinary share, whereas Class A ordinary shares are not Class B ordinary shares under any circumstances.
Item 2(e).	CUSIP NO.:
47215P 106	
	r applies to the American Depositary Shares of the Issuer ( <u>ADS</u> s), each representing two Class A ordinary shares of the .00002 per share. No CUSIP has been assigned to the ordinary shares.
Item 3. persons filing is	If this statement is filed pursuant to §§ 240.13d-1(b), or 240.13d-2(b) or (c), check whether the a:
Not applicable	
Item 4.	<u>Ownership</u>
_	mation with respect to the ownership of the ordinary shares of par value of \$0.00002 per share of JD.com, Inc. by rting persons is provided as of <u>December 31, 2018</u> :
	Shared

Amount

beneficially

owned:

486,142,517

**Reporting Person** 

Richard Qiangdong Liu

Percent of

class:

16.7

4	4
7	7
	- 1

**Shared power** 

to dispose or

to direct the

disposition of:

Sole power to

dispose or to

direct the

disposition of:

449,307,423

power to

vote or to

direct the

vote:

Sole power to

vote or direct

the vote:

486,142,517

Max Smart Limited	441,507,423	15.2	441,507,423	0 441,507,423	0
Fortune Rising Holdings Limited	36,835,094	1.3	36,835,094	0 36,835,094	0

The 486,142,517 ordinary shares of the Issuer beneficially owned by Mr. Richard Qiangdong Liu comprise (i) 421,507,423 Class B ordinary shares directly held by Max Smart Limited and 10,000,000 restricted ADSs, representing 20,000,000 Class A ordinary shares, owned by Max Smart Limited as described below, (ii) 7,800,000 Class A ordinary shares that Mr. Liu had the right to acquire upon exercise of options that shall have become vested by 60 days after December 31, 2018, and (iii) 36,835,094 Class B ordinary shares held by Fortune Rising Holdings Limited as described below. Mr. Richard Qiangdong Liu did not dispose of any ordinary shares or ADSs of the Issuer in 2018.

Max Smart Limited, a British Virgin Islands company, directly holds 421,507,423 Class B ordinary shares of the Issuer, and owns 10,000,000 restricted ADSs, representing 20,000,000 Class A ordinary shares. Max Smart Limited is 100% owned by UBS Nominees Limited on behalf of The Max Smart Trust, with UBS Trustees (BVI) Ltd. as the trustee, Mr. Richard Qiangdong Liu as the settlor and protector, and Mr. Liu and others designated by Mr. Liu as beneficiaries. Mr. Liu reserves the right to revoke The Max Smart Trust without the consent of another person, and Mr. Liu is the sole director of Max Smart Limited. Pursuant to Section 13(d) of the Securities Exchange Act of 1934, as amended, and the rules promulgated thereunder, Mr. Liu may be deemed to beneficially own all of the shares of the Issuer held by Max Smart Limited.

As of December 31, 2018, Fortune Rising Holdings Limited, a British Virgin Islands company, held 36,835,094 Class B ordinary shares. Fortune Rising Holdings Limited holds and administers the Class B ordinary shares of the Issuer for the benefit of plan participants under the Issuer s Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself), such as transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer s Share Incentive Plan, and administers the awards and acts according to the Issuer s instructions. Mr. Richard Qiangdong Liu is the sole shareholder and the sole director of Fortune Rising Holdings Limited. As the representative of Fortune Rising Holdings Limited, Mr. Liu can exercise the voting power with respect to these shares on behalf of Fortune Rising Holdings Limited, but does not have any economic interest in these shares. Pursuant to Section 13(d) of the Securities Exchange Act of 1934, as amended, and the rules promulgated thereunder, Mr. Liu may be deemed to beneficially own all of the shares of the Issuer held by Fortune Rising Holdings Limited.

The percentage of the class of securities beneficially owned by each of the reporting persons as of December 31, 2018 is based on 2,907,565,189 outstanding ordinary shares as a single class, being the sum of 2,449,222,672 Class A ordinary shares and 458,342,517 Class B ordinary shares outstanding as of December 31, 2018 assuming conversion of all Class B ordinary shares into Class A ordinary shares, and excluding the 58,250,658 Class A ordinary shares issued to the Issuer s depositary bank for bulk issuance of ADSs reserved for future issuances upon the exercising or vesting of awards granted under the Issuer s Share Incentive Plan. In addition, the calculation of percentage of the class of securities beneficially owned by Mr. Richard Qiangdong Liu has also taken into account 7,800,000 Class A ordinary shares that Mr. Liu had the right to acquire upon exercise of options that shall have become vested by 60 days after December 31, 2018.

\* During the third and fourth quarter of 2018, Fortune Rising Holdings Limited sold an aggregate of 648,017 ADSs (representing 1,296,034 Class A ordinary shares) in the open market on behalf of certain employees of the Issuer (not including Mr. Richard Qiangdong Liu) who wished to sell the shares that they had received upon the exercising or vesting of the awards granted to them under the Issuer's Share Incentive Plan. However, the logistics with the Issuer's Cayman registrar and depositary bank, including the conversion of 1,296,034 Class B ordinary shares held by Fortune Rising Holdings Limited into an equal number of Class A ordinary shares and the conversion of these Class A ordinary shares into 648,017 ADSs, had not been completed as of December 31, 2018. Assuming the aforementioned logistics had been completed as of December 31, 2018, Fortune Rising Holdings Limited would have held 35,539,060 Class B ordinary shares, and the ownership of the ordinary shares of the Issuer by each of the reporting persons would be as follows:

Reporting Person	Amount beneficially owned:	Percent of class:	Sole power to vote or direct the vote:	Shared power to vote or to direct the vote:	Sole power to dispose or to direct the disposition of:	Shared power to dispose or to direct the disposition of:
Richard Qiangdong Liu	484,846,483	16.6	484,846,483	0	449,307,423	0
Max Smart Limited	441,507,423	15.2	441,507,423	0	441,507,423	0
Fortune Rising Holdings Limited	35,539,060	1.2	35,539,060	0	35,539,060	0

Item 5. Ownership of Five Percent or Less of a Class

Not applicable

Item 6.

Ownership of More than Five Percent on Behalf of Another Person

Not applicable	
Item 7.	Identification and Classification of the Subsidiary Which Acquired the Security Being Reported
on By the Parent Ho	olding Company or Control Person
Not applicable	
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Item 8.	Identification and Classification of Members of the Group
Not applicable	
Item 9.	Notice of Dissolution of Group
Not applicable	
Item 10.	Certifications
Not applicable	
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#### LIST OF EXHIBITS

Exhibit No. Description

99.1 Joint Filing Agreement

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#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 1, 2019

Richard Qiangdong Liu /s/Richard Qiangdong Liu

Max Smart Limited By: /s/Richard Qiangdong Liu

Name: Richard Qiangdong Liu

Title: Director

Fortune Rising Holdings Limited By: /s/Richard Qiangdong Liu

Name: Richard Qiangdong Liu

Title: Director

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