

DOUGLAS DYNAMICS, INC
Form 8-K
May 03, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934**

Date of Report
(Date of earliest
event reported): **May 1, 2018**

DOUGLAS DYNAMICS, INC.

(Exact name of registrant as specified in its charter)

Delaware
(State or other
jurisdiction of
incorporation)

001-34728
(Commission File
Number)

134275891
(IRS Employer
Identification No.)

7777 North 73rd Street, Milwaukee, Wisconsin 53223

(Address of principal executive offices, including zip code)

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(414) 354-2310

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07.

Submission of Matters to a Vote of Security Holders.

On May 1, 2018, Douglas Dynamics, Inc. (the Company) held its annual meeting of stockholders (the 2018 Annual Meeting). The name of each director elected at the 2018 Annual Meeting, a brief description of each other matter voted upon at the 2018 Annual Meeting and the number of votes cast for, against or withheld, as well as the number of abstentions and broker non-votes as to each such matter, as applicable, are set forth below.

Election of Two Directors to Terms Expiring at the 2021 Annual Meeting of Stockholders

| <u>Name of Nominee</u> | <u>Shares Voted For</u> | <u>Shares Withheld</u> | <u>Broker Non-Votes</u> |
|------------------------|-------------------------|------------------------|-------------------------|
| James L. Packard | 19,241,163 | 333,773 | 2,274,736 |
| Kenneth W. Krueger | 19,246,470 | 328,467 | 2,274,736 |

Advisory Vote on Approval of the Compensation of the Company's Named Executive Officers

| <u>Shares Voted For</u> | <u>Shares Voted Against</u> | <u>Abstentions</u> | <u>Broker Non-Votes</u> |
|-------------------------|-----------------------------|--------------------|-------------------------|
| 19,089,774 | 419,034 | 66,128 | 2,274,736 |

Ratification of the Appointment of Deloitte & Touche LLP as Independent Registered Public Accounting Firm for 2018

| <u>Shares Voted For</u> | <u>Shares Voted Against</u> | <u>Abstentions</u> |
|-------------------------|-----------------------------|--------------------|
| 21,813,905 | 19,523 | 16,245 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 3, 2018

DOUGLAS DYNAMICS, INC.

By: */s/ Sarah Lauber*
Sarah Lauber
Chief Financial Officer and Secretary