Morningside Venture (VI) Investments Ltd Form 5

securities beneficially owned directly or indirectly.

February 13, 2018

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Morningside Venture (VI) Symbol Investments Ltd ADURO BIOTECH, INC. [ADRO] (Check all applicable) (Last) (First) 3. Statement for Issuer's Fiscal Year Ended (Middle) (Month/Day/Year) Director _X__ 10% Owner Officer (give title Other (specify 12/31/2017 below) below) C/O THC MANAGEMENT SERVICES S.A.M. 2ND F, LE PRINCE DE GALLES, 3-5 **AVENUE DESCITRO** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) MONACO, O9Â 98000 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or Amount (D) Price Common Â Â 09/07/2017 G 10,500,000 D \$0 9,305,532 Stock Persons who respond to the collection of information Reminder: Report on a separate line for each class of SEC 2270

contained in this form are not required to respond unless

the form displays a currently valid OMB control number.

1

(9-02)

Edgar Filing: Morningside Venture (VI) Investments Ltd - Form 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	es		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or	or				
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)	l, and 5)				
									Amount	
				Date Exercis		_			or	
							Title	Number		
						Exercisable	Date		of	
					(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Morningside Venture (VI) Investments Ltd C/O THC MANAGEMENT SERVICES S.A.M. 2ND F LE PRINCE DE GALLES, 3-5 AVENUE DESCITRO MONACO, O9Â 98000

 $\hat{A} \qquad \hat{A} \ X \quad \hat{A} \qquad \hat{A}$

Of D So

Is Fi

Signatures

/s/ Florence Wong and Louise Garbarino

02/13/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2