## Edgar Filing: Hanrahan Kathleen A - Form 4

| Hanrahan Ka  | athleen A                    |  |  |   |              |                  |   |   |   |   |  |
|--|------------------------------|--|--|---|--------------|------------------|---|---|---|---|--|
| Form 4   | 5 2017                       |  |  |   |              |                  |   |   |   |   |  |
| FORN   |                              | р статр  | SECUD  | TIES A  | ND EV(       |                  | NCE   | COMMISSION  |   | PPROVAL   |  |
| -  | UNITE                        | UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES  |  |   |              |                  |   |   |   | 3235-0287   |  |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 o          | ger <b>STAT</b><br>6.        |  |  |   |              |                  |   |   |   | Expires: January 31<br>2009<br>Estimated average<br>burden hours per<br>response 0. |  |
| Form 5<br>obligatio<br>may cont<br><i>See</i> Instru<br>1(b).          | ns Section 1                 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |   |              |                  |   |   |   |   |  |
| (Print or Type I   | Responses)                   |  |  |   |              |                  |   |   |   |   |  |
| 1. Name and Address of Reporting Person <u></u><br>Hanrahan Kathleen A |                              |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>MFA FINANCIAL, INC. [MFA] |   |              |                  |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |   |   |  |
| (Last)   | (First)                      | (Middle)   | 3. Date of Earliest Transaction (Check   |   |              |                  |   | ск ан аррисави  | an applicable)  |   |  |
|  | FINANCIAL, I<br>ENUE, 20TH F |  | (Month/D<br>12/13/20   | -   |              |                  |   | Director<br>X Officer (give<br>below)<br>Senio                                |   | b Owner<br>er (specify<br>nt  |  |
|  | (Street)                     |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                               |   |              |                  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |   |   |   |  |
| NEW YOR  | K, NY 10022                  |  |  |   |              |                  |   | Form filed by M<br>Person   | Nore than One Ro  | eporting  |  |
| (City)   | (State)                      | (Zip)  | Table  | e I - Non-D   | erivative    | Securi           | ities Acc   | uired, Disposed of  | f, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                                   | any                          |  | emed<br>on Date, if<br>/Day/Year)  | 3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5) |              | d of             | Securities<br>Beneficially<br>Owned<br>Following  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)          | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |
|  |                              |  |  | Code V  | Amount       | (A)<br>or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                |   |   |  |
| Common<br>Stock  | 12/13/2017                   |  |  | А   | 7,064<br>(1) | А                | \$0   | 83,709  | D   |   |  |
| Common<br>Stock  | 12/13/2017                   |  |  | F   | 1,766<br>(2) | D                | \$<br>8.07  | 81,943  | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | Date               | Amou<br>Unde<br>Secur | le and<br>int of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|---|---|---|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares            |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address   |          | Relationships |                       |       |  |  |  |  |  |
|--|----------|---------------|-----------------------|-------|--|--|--|--|--|
| Reporting o wher runte, runtess  | Director | 10% Owner     | Officer               | Other |  |  |  |  |  |
| Hanrahan Kathleen A<br>C/O MFA FINANCIAL, INC.<br>350 PARK AVENUE, 20TH FLOC<br>NEW YORK, NY 10022 | DR       |               | Senior Vice President |       |  |  |  |  |  |
| Signatures   |          |               |                       |       |  |  |  |  |  |
| /s/ Kathleen A. 12/1   | 5/2017   |               |                       |       |  |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares acquired by the Reporting Person were received as a portion of the Reporting Person's annual incentive compensation and are fully vested as of the date of grant. The net shares acquired (i.e., net of the surrender of a portion of the shares acquired in order to satisfy

- (1) The second as of the date of grant. The let shares acquired (i.e., liet of the suffered of a portion of the shares acquired in order to satisfy tax obligations (as described in Note 2 below)) are subject to a three-year holding period and may not be sold or otherwise transferred prior to December 13, 2020.
- (2) The reported disposition represents the surrender of shares to satisfy tax obligations arising from the receipt by the Reporting Person of the shares described in Note 1 above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Hanrahan

\*\*Signature of Reporting

Person