

ENVESTNET, INC.
Form 4
September 30, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bergman Judson

(Last) (First) (Middle)

35 EAST WACKER DRIVE, SUITE 2400

(Street)

CHICAGO, IL 60601

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ENVESTNET, INC. [ENV]

3. Date of Earliest Transaction (Month/Day/Year)
09/30/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	09/30/2015		M	(A) or (D) Code V Amount (D) Price 10,000 \$ <u>(2)</u> 7.5	634,214	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Shares
Employee Stock Option (Right to Buy)	\$ 7.5	09/30/2015		M	10,000	04/26/2007 ⁽¹⁾⁽³⁾	04/26/2017	Common Stock	20
Employee Stock Option (Right to Buy)	\$ 7.5					04/30/2009 ⁽³⁾	04/30/2018	Common Stock	3
Employee Stock Option (Right to Buy)	\$ 7.15					05/15/2010 ⁽³⁾	05/15/2019	Common Stock	1
Employee Stock Option (Right to Buy)	\$ 9					07/28/2011 ⁽⁴⁾	07/28/2020	Common Stock	37
Employee Stock Option (Right to Buy)	\$ 12.55					02/28/2012 ⁽⁴⁾	02/28/2021	Common Stock	1
Employee Stock Option (Right to Buy)	\$ 12.45					02/28/2013 ⁽⁴⁾	02/28/2022	Common Stock	7
Employee Stock Option (Right to Buy)	\$ 15.34					02/28/2014 ⁽⁴⁾	02/28/2023	Common Stock	9
Employee Stock	\$ 41.84					02/28/2015 ⁽⁴⁾	02/28/2024	Common Stock	2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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