Edgar Filing: ROGEL STEVEN R - Form 4

ROGEL ST	EVEN R										
Form 4											
May 29, 201	.3										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB AF	OMB APPROVAL		
	• • UNITE	D STATES					NGE C	COMMISSION	OMB	3235-0287	
Check th	is box		Was	hington,	D.C. 205	549			Number:	January 31,	
if no lon	aar		Г С ПАМ	CES IN	DENIFET	CIAI		NEDSUID OF	Expires:	2005	
subject t	0		F CHAN	GES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per response 0.5			
Section 1 Form 4 c				SECURITIES							
Form 5		ursuant to S	Section 10	6(a) of the	e Securiti	es Ex	chang	e Act of 1934,	1esp01se	0.0	
obligatio	ns Section 1						•	f 1935 or Section	n		
may con <i>See</i> Instr		30(h)	of the In-	vestment	Company	y Act	of 194	40			
1(b).											
(Print or Type)	Responses)										
1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading 5. Relationship o							5. Relationship of	Reporting Person(s) to			
ROGEL STEVEN R Symbol			Name and Ticker or Trading				Issuer				
			-	rgySolutions, Inc. [ES]							
(Last)	(First)	(Middle)	01	Earliest Tr		•		(Chec	k all applicable	;)	
(Last)	(1131)	(midule)	(Month/D		alisaction			X Director	10%	Owner	
			05/24/20	-			Officer (give title Other (specify				
								below)	below)		
			endment, Date Original				6. Individual or Joint/Group Filing(Check				
			Filed(Mon	th/Day/Year))			Applicable Line) _X_ Form filed by C	One Reporting Pe	rson	
SALT LAKE CITY, UT 84101								Form filed by More than One Reporting			
								Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A. Deer	med	3.	4. Securit			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea	(Month/Day/Year) Execution Date, if			on(A) or Dis			Securities	Form: Direct		
(Instr. 3) any (Month/Day/Year)			Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		((Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(
Common	05/24/2013			D	61 407	D	\$ 4 15	0	D		
Stock	03/24/2013			D	61,407	D	4.15 (1)	0	D		
							<u> </u>				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: ROGEL STEVEN R - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date		Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ROGEL STEVEN R 423 WEST 300 SOUTH SUITE 200 SALT LAKE CITY, UT 84101	Х						
Signatures							
/s/ Heidi Nakaishi, by power of attorney	05/24/2013						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the terms of the Agreement and Plan of Merger, dated as of January 7, 2013, as amended on April 5, 2013, by and among EnergySolutions, Inc., a Delaware corporation (the "Company"), Rockwell Holdco, Inc., a Delaware corporation, Rockwell Acquisition

(1) Corp., a Delaware corporation (the "Merger Agreement"; capitalized terms used but not defined herein have the meanings assigned to them in the Merger Agreement), at the Effective Time, each share of Company Common Stock held by the reporting person was cancelled and converted automatically into the right to receive \$4.15 in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.