Rockwood Holdings, Inc.

Form 4

November 20, 2007

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KKR Millennium GP LLC

(Middle)

5. Relationship of Reporting Person(s) to Issuer

Symbol Rockwood Holdings, Inc. [ROC]

(Check all applicable)

C/O KKR & CO., 9 WEST 57TH

(First)

3. Date of Earliest Transaction

11/16/2007

(Month/Day/Year)

\_X\_\_ 10% Owner Director \_ Other (specify Officer (give title below)

STREET, 41ST FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

NEW YORK, NY 10019

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Approximately 1. Securities Approximately 1. Securities Amount 4. Securities Am	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	11/16/2007		S	1,091,788	D	\$ 34 (1)	3,354,108	I	See Footnote (2) (7) (8) (9) (10)
Common Stock, par value \$0.01 per share	11/16/2007		S	3,866,549	D	\$ 34 (1)	15,272,367	I	See Footnote (3) (7) (8) (9) (10)
Common Stock, par	11/16/2007		S	33,189	D	\$ 34 (1)	131,093	I	See Footnote

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value \$0.01 per share							<u>(4)</u> <u>(7)</u> <u>(8)</u> <u>(9)</u> <u>(10)</u>
Common Stock, par value \$0.01 per share	11/16/2007	S	80,553	D	\$ 34 (1) 318,174	I	See Footnote (5) (7) (8) (9) (10)
Common Stock, par value \$0.01 per share	11/16/2007	S	2,694,553	D	\$ 34 (1) 10,643,136	I	See Footnote (6) (7) (8) (9) (10)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ate	Amoun	t of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securiti	ies	(Instr. 5)
	Derivative				Securities			(Instr. 3	3 and 4)	
	Security				Acquired					
	·				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
								F	Amount	
						Date	Expiration		or	
						Exercisable	Date	Title I		
									of 	
				Code V	(A) (D)			5	Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
KKR Millennium GP LLC C/O KKR & CO. 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019		X				
		$\mathbf{v}$				

Reporting Owners 2

KKR Millennium Fund L.P. C/O KKR & CO. 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 KKR 1996 GP LLC C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 KKR ASSOCIATES 1996 L P C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 KKR 1996 FUND L P C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 KRAVIS HENRY R C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 ROBERTS GEORGE R C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 MICHELSON MICHAEL W C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019 KKR Associates Millennium L.P. C/O KKR & CO. X 9 WEST 57TH STREET, 41ST FLOOR NEW YORK, NY 10019

## **Signatures**

/s/ William J. Janetschek, by power of attorney, for KKR Millennium GP LLC	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for KKR Millennium Fund, L.P.	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for KKR 1996 GP LLC	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for KKR Associates 1996 LP	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for KKR 1996 Fund LP	11/20/2007
**Signature of Reporting Person	Date

Signatures 3

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/s/ William J. Janetschek, by power of attorney, for Henry R. Kravis	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for George R. Roberts	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for Michael W. Michelson	11/20/2007
**Signature of Reporting Person	Date
/s/ William J. Janetschek, by power of attorney, for KKR Associates Millennium L.P.	11/20/2007
****	

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents price to the public pursuant to an underwritten offering before underwriting discounts and commissions.
- These securities are held of record by KKR Millennium Fund L.P. As the sole general partner of KKR Millennium Fund L.P., KKR

  Associates Millennium L.P. may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P. As the sole general partner of KKR Associates Millennium L.P., KKR Millennium GP LLC also may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P.
- These securities are held of record by KKR 1996 Fund L.P. As the sole general partner of KKR 1996 Fund L.P., KKR Associates 1996

  L.P. may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P. As the sole general partner of KKR Associates 1996 L.P., KKR 1996 GP LLC also may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P.
- These securities are held of record by KKR Partners II, L.P. As the general partners of KKR Partners II, L.P., KKR Associates (Strata)

  L.P. and KKR Associates, L.P. may be deemed to be the beneficial owners of such securities held by KKR Partners II, L.P. As the sole general partner of KKR Associates (Strata) L.P., Strata L.L.C. also may be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P.
- These securities are held of record by KKR Partners III, L.P. (Series F). As the sole general partner of KKR Partners III, L.P., KKR III GP LLC may be deemed to be the beneficial owner of such securities held by KKR Partners III, L.P. (Series F).
- The securities are held of record by KKR European Fund, Limited Partnership. As the sole general partner of KKR European Fund,
  Limited Partnership, KKR Associates Europe, Limited Partnership may be deemed to be the beneficial owner of such securities held by
  KKR European Fund, Limited Partnership. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe
  Limited also may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership.
  - As members of KKR Millennium GP LLC, KKR 1996 GP LLC and KKR III GP LLC, Messrs. Henry R. Kravis, George R. Roberts, Paul E. Raether, Michael W. Michelson, James H. Greene, Perry Golkin, Johannes Huth, Alexander Navab and Todd A. Fisher may also be deemed to be beneficial owners of the securities held by KKR Millennium Fund L.P., KKR 1996 Fund L.P. and KKR Partners III
- (7) L.P. (Series F), respectively; as members of Strata L.L.C. and general partners of KKR Associates, L.P., Messrs. Kravis, Roberts, Raether, Michelson, Greene and Golkin also may be deemed to be beneficial owners of the securities held by KKR Partners II, L.P.; (continued in footnote 8)
  - (continued from footnote 7); as members of KKR Millennium GP LLC and KKR III GP LLC, Messrs. Marc S. Lipshchultz, Jacques Garaialde, Reinhard Gorenflos, Scott C. Nuttall and Michael M. Calbert may also be deemed to be beneficial owners of the securities held by KKR Millennium Fund L.P. and KKR Partners III, L.P., respectively; and as directors of KKR Europe Limited, Messrs. Kravis,
- (8) Roberts, Raether, Michelson, Greene, Golkin, Huth, Navab, Fisher, Lipschultz and Garaialde also may be deemed to be beneficial owners of the securities held by KKR European Fund, Limited Partnership. Each Reporting Person other than the record holders disclaims beneficial ownership of the securities that may be deemed to be beneficially owned by such Reporting Person, except to the extent of such Reporting Person's own pecuniary interest therein.
- (9) Due to the limitations of Form 4, certain of the persons described as beneficial owners or deemed beneficial owners of the securities reported on this Form 4 are filing as reporting persons on separate Forms 4.
- (10) The filing of this statement shall not be deemed an admission that, for the purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise, the Reporting Person is the beneficial owner of any equity securities covered by this statement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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