TIVO INC Form 4 November 03, 2004

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

January 31, 2005

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Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** COURTNEY DAVID |                  |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |  |
|---|------------------|----------|--|--|--|--|
| (Last) (First) (Middle)                                   |                  | (Middle) | TIVO INC [TIVO]  3. Date of Earliest Transaction     | (Check all applicable)   |  |  |
| C/O TIVO INC, 2160 GOLD<br>STREET, PO BOX 2160            |                  |          | (Month/Day/Year)<br>11/01/2004                       | _X_ Director 10% Owner<br>_X_ Officer (give title Other (specification)<br>below) CFO, EVP Worldwide Ops & Admir |  |  |
|   | (Street)         |          | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                |  |  |
| ALVISO, CA  | 95002<br>(State) | (Zip)    | Table I. No. Dorland a Complete A                    | Form filed by More than One Reporting Person   |  |  |

| (City)          | (State)             | Table                                   | e I - Non-Do | erivative S | securi               | ties Ac   | quired, Disposed | of, or Beneficia         | lly Owned  |  |
|-----------------|---------------------|---|--------------|-------------|----------------------|-----------|------------------|--------------------------|------------|--|
| 1.Title of      | 2. Transaction Date |   | 3.           | 4. Securi   |                      |           | 5. Amount of     | 6. Ownership             |            |  |
| Security        | (Month/Day/Year)    | (Month/Day/Year) Execution Date, if any |              |             | l (A) c              |           | Securities       | Form: Direct             | Indirect   |  |
| (Instr. 3)      |                     |   |              |             | Code Disposed of (D) |           |                  | Beneficially (D) or Bene |            |  |
|                 |                     | (Month/Day/Year)                        | (Instr. 8)   | (Instr. 3,  | 4 and                | 5)        | Owned            | Indirect (I)             | Ownership  |  |
|                 |                     |   |              |             |                      |           | Following        | (Instr. 4)               | (Instr. 4) |  |
|                 |                     |   |              |             | ( )                  |           | Reported         |                          |            |  |
|                 |                     |   |              |             | (A)                  |           | Transaction(s)   |                          |            |  |
|                 |                     |   | Code V       | Amount      | or<br>(D)            | Price     | (Instr. 3 and 4) |                          |            |  |
| Common<br>Stock | 11/01/2004          |   | M            | 1,000       | A                    | \$ 1      | 1,001            | D                        |            |  |
| Common<br>Stock | 11/01/2004          |   | S            | 1,000       | D                    | \$<br>6.6 | 1                | D                        |            |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

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### Edgar Filing: TIVO INC - Form 4

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | ction of Derivative<br>Securities |       | of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|-----------------------------------|-------|---|--------------------|---|--|
|   |   |   |   | Code V                                 | (A)                               | (D)   | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock Option (right to buy)                         | \$ 1  | 11/01/2004                              |   | M                                      |                                   | 1,000 | 03/12/1999  | 03/12/2009         | Common<br>Stock   | 1,000                                  |

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# **Reporting Owners**

| Reporting Owner Name / Address  | Keiauonsnips |           |                                |       |  |  |
|---|--------------|-----------|--------------------------------|-------|--|--|
|   | Director     | 10% Owner | Officer                        | Other |  |  |
| COURTNEY DAVID<br>C/O TIVO INC<br>2160 GOLD STREET, PO BOX 2160<br>ALVISO, CA 95002 | X            |           | CFO, EVP Worldwide Ops & Admin |       |  |  |
| <b>^</b> ' '  |              |           |                                |       |  |  |

## **Signatures**

Phyllis Mesec, Attorney-in-Fact For: David H. 11/03/2004 Courtney \*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

Automatic Sale.

The stock transactions listed on this Form 4 are effective pursuant to a Rule 10b5-1 Trading Plan adopted by the reporting personal transactions are stocked transactions.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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