#### STERN THEODORE

Form 4

December 06, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* STERN THEODORE

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Middle)

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Instr. 8)

UCN INC [UCNN]

10% Owner

**EXECUTIVE ASSOCIATES, 2970** 

(Street)

(First)

(Month/Day/Year) 07/20/2005

Officer (give title below)

Other (specify

ONE PPG PLACE

X\_ Director

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

PITTSBURGH, PA 15222

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4)

(A) or Code V Amount (D) Price

(Instr. 3, 4 and 5)

Following Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

### Edgar Filing: STERN THEODORE - Form 4

| (Instr. 3) | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr.      | 8) | Disp | nired (A) or osed of (D) or. 3, 4, and |                     |                    |                 |                            |
|------------|------------------------------------|------------|------------------|--------------|----|------|--|---------------------|--------------------|-----------------|----------------------------|
|            |                                    |            |                  | Code         | V  | (A)  | (D)                                    | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>Number<br>Shares |
| Warrant    | \$ 2                               | 07/20/2005 |                  | J <u>(1)</u> | V  |      | 25,000                                 | 07/20/2000          | 07/20/2005         | Common<br>Stock | 25,000                     |
| Warrant    | \$ 2                               | 08/17/2005 |                  | J <u>(1)</u> | V  |      | 170,000                                | (2)                 | 08/17/2005         | Common<br>Stock | 170,00                     |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |
| STERN THEODORE<br>EXECUTIVE ASSOCIATES<br>2970 ONE PPG PLACE<br>PITTSBURGH, PA 15222 | X             |           |         |       |  |  |  |

## **Signatures**

THEODORE

STERN 12/06/2005

\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Expiration of warrants to acquire common stock.
- (2) Half were exercisable on the issue date; half were exercisable on 09/05/2000.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2