Edgar Filing: ALLDIGITAL HOLDINGS, INC. - Form 3

ALLDIGITAL HOLDINGS, INC. Form 3 January 17, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVA

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Instr. 4)

Expiration Date

Exercisable Date

Expiration

(Month/Day/Year)

Date

1. Name and Address of Reporting Person <u>*</u> Eisenstein Bradley A			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol ALLDIGITAL HOLDINGS, INC. [ADGL]					
~ /	(First)	(Middle)	01/07/2014		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
220 TECHNO SUITE 100	LOGY DI	RIVE,			(Check	all applicable)			
IRVINE, CA	(Street) AÂ 92618				Director XOfficer (give title below Chief Op	Other	w)	Filing(Check _X_ Form file Person	or Joint/Group Applicable Line) d by One Reporting d by More than One son
(City)	(State)	(Zip)	Т	able I - N	on-Derivati	ive Securiti	es Ber	neficially (Jwned
1.Title of Security (Instr. 4)	7		В	Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	-	t Beneficial
Reminder: Report owned directly or	-	te line for ea	ch class of securit	ties beneficia	ally SI	EC 1473 (7-02)		
	informa require	ation conta d to respo	oond to the col ined in this for nd unless the f IB control num	rm are not form displa	ays a				
Tab	ole II - Deriv	vative Secur	ities Beneficially	y Owned (e.	g., puts, calls,	warrants, opt	ions, co	onvertible se	curities)
1. Title of Derivat	tive Security	2. Date	Exercisable and	3. Title	and Amount o	of 4.		5.	6. Nature of Indirect

Securities Underlying

Amount or

Number of

Shares

Derivative Security

(Instr. 4)

Title

Conversion

or Exercise

Derivative

Price of

Security

Ownership

Derivative

Security:

Direct (D)

or Indirect

(I)

Form of

Beneficial

Ownership

(Instr. 5)

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						(Instr. 5)	
Non-Statutory Stock Options (right to buy)	(1)	12/13/2023	Common Stock	35,000	\$ 0.3	D	Â
Incentive Stock Options (right to buy)	(2)	01/07/2024	Common Stock	750,000	\$ 0.12	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Eisenstein Bradley A 220 TECHNOLOGY DRIVE, SUITE 100 IRVINE, CA 92618	Â	Â	Chief Operating Officer	Â			
Signatures							

Bradley A. Eisenstein	01/16/2014		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These Options were granted pursuant to the Company's Amended and Restated 2011 Stock Incentive Plan. The option will vest February
(1) 3, 2014 and, because they are connected with an interim consulting relationship, the Options are not subject to forfeiture upon termination of service once vested.

These options were granted under the Company's Amended and Restated 2011 Stock Incentive Plan. The option will vest over a period of 4 years, subject to Mr. Eisenstein's continued employment. Twenty-five percent (25%) of shares underlying the option will vest on the

(2) 4 years, subject to twit Elsenstein's continued employment. Twenty-tive percent (25 %) of shares underlying the option will vest on the first anniversary of the grant date, and then 1/36th of the shares underlying the option will vest each month thereafter until fully vested. Upon a change of control, any unvested portion of the option will immediately vest in full.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.