





Edgar Filing: UNIVERSAL CORP /VA/ - Form 5

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

=====

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares<br><br>Title |
|--|---|--|---|--|---|---|
| Common Stock   | 37.125  | 10/28/98   |   | (4)  |   | 1,000   |
| Common Stock   | 21.8125   | 10/27/99   |   | (4)  |   | 1,000   |
| Common Stock   | 28.75   | 10/24/00   |   | (4)  |   | 1,000   |
| Deferred Stock Units 1 for 1                           |   | (5)  |   | 1,215.87462  | (5) (5)   | Common Stock 1,215.874  |

Explanation of Responses:

(1) shares were granted for service as a Director. Transfer of shares is prohibited and the shares may be forfeited to the Company unless certain specified conditions are met.

(2) Gift to wife, the reporting person disclaims ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of section 16 or for any other purpose.

(3) shares held in the dividend reinvestment plan

(4) grant to Director of options to buy 1,000 shares of common stock under

Edgar Filing: UNIVERSAL CORP /VA/ - Form 5

the Universal Corporation 1994 Stock Option Plan for non-employee directors.  
(5)The deferred stock units were acquired under the UC outside directors' deferred income plan on a periodic basis during the fiscal year ended 6/30/01. Each deferred stock unit will be settled in cash based upon a fixed date payout election or upon death, disability or termination of service, or earlier pursuant to the terms of the plan. The range of high and low market prices for the company's common stock on the dates the deferred stock units were credited is \$40.55 and \$21.6875, respectively.

Signature of file, filed electronically

August 9, 2001

-----

-----

\*\*Signature of Reporting Person

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2