Edgar Filing: NICHOLAS JAMES B - Form 5

NICHOLAS Form 5 February 04	, 2019								PROVAL		
FORM	Λ5							-	FROVAL		
	UNITED		SECURITIES AND EXCHANGE CO				OMMISSION	OMB Number:	3235-0362		
Check this box if no longer subject			Washington, D.C. 20549						January 31,		
to Section Form 4 o 5 obligati may cont See Instru	n 16. r Form ANN ions inue. action	OW	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES to Section 16(a) of the Securities Exchange Act of 193						2005 average rs per 1.0		
1(b). Form 3 H Reported Form 4 Transacti Reported	Ioldings Section 17(a) of the Publi		ng Comp	any A	Act of	1935 or Section	1			
1. Name and Address of Reporting Person <u>*</u> NICHOLAS JAMES B			2. Issuer Name and Ticker or Trading Symbol PEOPLES FINANCIAL SERVICES				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (N	Middle) 3. St (Mor	CORP. [PFIS] 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)				X_ Director 10% Owner Officer (give title Other (specify below) below)				
	LES SECURITY CO., 150 N JTON AVE		31/2018					501011)			
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Reporting (check applicable line)				
SCRANTO	DN, PA 18503						_X_ Form Filed by 0 Form Filed by M Person				
(City)	(State)	(Zip)	Table I - Non-De	rivative Se	curitie	es Acqu	iired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Y	Code	Acquired Disposed (Instr. 3,	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	Â	Â	Â	Â	Â	Â	21,114.867 (1)	D	Â		
Common Stock	Â	Â	Â	Â	Â	Â	2,131.305 (2)	Ι	IRA		
Common Stock	Â	Â	Â	Â	Â	Â	1,452.038 <u>(3)</u>	Ι	Spouse		
	Â	Â	Â	Â	Â	Â	1,333.696 <u>(4)</u>	Ι			

Reporting Owners

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Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Spouse

SEC 2270

(9-02)

IRA

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	
					4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
NICHOLAS JAMES B C/O PEOPLES SECURITY BANK & TRUST CO. 150 N WASHINGTON AVE SCRANTON, PA 18503	ÂX	Â	Â	Â			
Signatures							
Carla Olenchak, Attorney in Fact for James B Nicholas	02/04/2019						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Balance includes adjustment for an aggregate of 289.633 shares acquired by the reporting person through dividend reinvestment under the (1)Issuer's Dividend Reinvestment and stock Purchase Plan.
- Balance includes adjustment for an aggregate of 59.44 shares acquired by the reporting person through dividend reinvestment under the (2) Issuer's Dividend Reinvestment and stock Purchase Plan.
- Balance includes adjustment for an aggregate of 40.493 shares acquired by the reporting person's spouse through dividend reinvestment (3) under the Issuer's Dividend Reinvestment and Stock Purchase Plan.

(4) Balance includes adjustment for an aggregate of 37.193 shares acquired by the reporting person's spouse through dividend reinvestment under the issuer's Dividend Reinvestment and Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.