

UNOVA INC
Form 3
September 19, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Winter Steven Jay		(Month/Day/Year)	UNOVA INC [UNA]	
(Last)	(First)	(Middle)	09/08/2005	
6001 36TH AVENUE WEST		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)		(Check all applicable)		6. Individual or Joint/Group Filing(Check Applicable Line)
EVERETT,Â WAÂ 98203-1264		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)		<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Vice President	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	20,937.4249	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Incentive Stock Option (right to buy)	Â (1)	11/07/2007	Common Stock	25,000	\$ 18.875	D	Â
Incentive Stock Option (right to buy)	Â (2)	11/19/2008	Comon Stock	4,352	\$ 16.5938	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	11/19/2008	Common Stock	10,648	\$ 16.5938	D	Â
Incentive Stock Option (right to buy)	Â (4)	02/05/2009	Common Stock	4,000	\$ 17.1875	D	Â
Non-Qualified Stock Option (right to buy)	Â (5)	02/05/2009	Common Stock	6,000	\$ 17.1875	D	Â
Incentive Stock Option (right to buy)	Â (6)	11/17/2010	Common Stock	9,581	\$ 4.19	D	Â
Non-Qualified Stock Option (right to buy)	Â (7)	11/17/2010	Common Stock	30,419	\$ 4.19	D	Â
Incentive Stock Option (right to buy)	Â (8)	05/07/2012	Common Stock	22,148	\$ 7.375	D	Â
Non-Qualified Stock Option (right to buy)	Â (9)	05/07/2012	Common Stock	2,852	\$ 7.375	D	Â
Incentive Stock Option (right to buy)	Â (10)	05/08/2013	Common Stock	10,000	\$ 7.72	D	Â
Incentive Stock Option (right to buy)	Â (11)	05/06/2014	Common Stock	16,267	\$ 17.225	D	Â
Non-Qualified Stock Option (right to buy)	Â (12)	05/06/2014	Common Stock	8,733	\$ 17.225	D	Â
Incentive Stock Option (right to buy)	Â (13)	05/17/2015	Common Stock	5,697	\$ 19.985	D	Â
Non-Qualified Stock Option (right to buy)	Â (14)	05/17/2015	Common Stock	29,303	\$ 19.985	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Winter Steven Jay 6001 36TH AVENUE WEST EVERETT, WA 98203-1264	Â	Â	Â Vice President	Â

Signatures

By: Cathy D. Younger For: Steven J.
Winter 09/19/2005

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in five equal installments of 5,000 shares each on Nov. 6, 1998, Nov. 6, 1999, Nov. 6, 2000, Nov. 6, 2001, and Nov. 6, 2002.
 - (2) Exercisable in four equal installments of 338 shares each on Nov. 19, 1999, Nov. 19, 2000, Nov. 19, 2001, Nov. 19, 2002, and one installment of 3,000 shares on Nov. 19, 2003.
 - (3) Exercisable in four equal installments of 2,662 shares each on Nov. 19, 1999, Nov. 19, 2000, Nov. 19, 2001, and Nov. 19, 2002.
 - (4) Exercisable in two equal installments of 2,000 shares each on Feb. 5, 2003 and Feb. 5, 2004.
 - (5) Exercisable in three equal installments of 2,000 shares each on Feb. 5, 2000, Feb. 5, 2001, and Feb. 5, 2002.
 - (6) Exercisable in one installment of 1,581 shares on Nov. 17, 2004 and one installment of 8,000 shares on Nov. 17, 2005.
 - (7) Exercisable in three equal installments of 8,000 shares each on Nov. 17, 2001, Nov. 17, 2002, Nov. 17, 2003 and one installment of 6,419 shares on Nov. 17, 2004.
 - (8) Exercisable in one installment of 2,148 shares on May 7, 2003, and four equal installments of 5,000 shares each on May 7, 2004, May 7, 2005, May 7, 2006, and May 7, 2007.
 - (9) Exercisable in one installment of 2,852 shares on May 7, 2003.
 - (10) Exercisable in five equal installments of 2,000 shares each on May 8, 2004, May 8, 2005, May 8, 2006, May 8, 2007, and May 8, 2008.
 - (11) Exercisable in one installment of 822 shares on May 6, 2005, two equal installments of 2,768 shares each on May 6, 2006 and May 6, 2007, one installment of 4,909 shares on May 7, 2008, and one installment of 5,000 shares on May 7, 2009.
 - (12) Exercisable in one installment of 4,178 shares on May 6, 2005, two equal installments of 2,232 shares each on May 6, 2006 and May 6, 2007, and one installment of 91 shares on May 6, 2008.
 - (13) Exercisable in one installment of 694 shares on May 17, 2009, and one installment of 5,003 shares on May 7, 2010.
 - (14) Exercisable in three equal installments of 7,000 shares each of May 17, 2006, May 17, 2007, May 17, 2008, one installment of 6,306 shares on May 17, 2009, and one installment of 1,997 shares on May 17, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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