

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

GREAT LAKES CHEMICAL CORP

Form SC 13G/A

February 14, 2002

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO RULES 13d-1(b), (c), AND (d) AND AMENDMENTS THERETO FILED
PURSUANT TO RULE 13d-2(b)
Amendment No. 4/1/

Great Lakes Chemical Corporation

(Name of Issuer)

Common Stock

(Title of Class of Securities)

390568 10 3

(CUSIP Number)

December 31, 2001

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to
which this Schedule is filed:

[X] Rule 13d-1(b)
[] Rule 13d-1(c)
[] Rule 13d-1(d)

(Continued on following pages)
Page 1 of 15 Pages

/1/ The remainder of this cover page shall be filled out for a reporting
person's initial filing on this form with respect to the subject class of
securities, and for any subsequent amendment containing information which would
alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP NO. 390568 10 3

13G

PAGE 2 OF 15 PAGES

NAME OF REPORTING PERSON

1 S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Warren E. Buffett

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

2 (a) ☒ (b) ☐

SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4 United States Citizen

SOLE VOTING POWER

5
NUMBER OF
SHARES
0

SHARED VOTING POWER

6
BENEFICIALLY
OWNED BY
6,948,700

SOLE DISPOSITIVE POWER

7
EACH
REPORTING
PERSON
0

SHARED DISPOSITIVE POWER

8
WITH
6,948,700

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9
6,948,700

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

10 Not Applicable ☐

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

13.85

 12 TYPE OF REPORTING PERSON*
 IN

 CUSIP NO. 390568 10 3

13G

 PAGE 3 OF 15 PAGES

 1 NAME OF REPORTING PERSON
 S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON
 Berkshire Hathaway Inc.

 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
 (a) ☒ (b) ☐

 3 SEC USE ONLY

 4 CITIZENSHIP OR PLACE OF ORGANIZATION
 Delaware Corporation

		SOLE VOTING POWER
NUMBER OF	5	0
SHARES		

		SHARED VOTING POWER
BENEFICIALLY	6	6,948,700
OWNED BY		

		SOLE DISPOSITIVE POWER
EACH	7	0
REPORTING		
PERSON		-----
		SHARED DISPOSITIVE POWER
WITH	8	6,948,700

 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
 6,948,700

 10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
 Not Applicable ☐

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
 13.85

 12 TYPE OF REPORTING PERSON*
 HC

 CUSIP NO. 390568 10 3 13G PAGE 4 OF 15 PAGES

 1 NAME OF REPORTING PERSON
 S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON
 OBH, Inc.

 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
 (a) ☒ (b) ☐

 3 SEC USE ONLY

 4 CITIZENSHIP OR PLACE OF ORGANIZATION
 Delaware Corporation

 5 SOLE VOTING POWER
 NUMBER OF 0
 SHARES

 6 SHARED VOTING POWER
 BENEFICIALLY 6,948,700
 OWNED BY

 7 SOLE DISPOSITIVE POWER
 EACH 0
 REPORTING

 8 SHARED DISPOSITIVE POWER
 PERSON 6,948,700
 WITH

 9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
 6,948,700

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
Not Applicable ☐

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
13.85

12 TYPE OF REPORTING PERSON
HC

CUSIP NO. 390568 10 3

13G

PAGE 5 OF 15 PAGES

1 NAME OF REPORTING PERSON
S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON
National Indemnity Company

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*
(a) ☒
(b) ☐

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION
Nebraska Corporation

		SOLE VOTING POWER
NUMBER OF	5	0
SHARES		
		SHARED VOTING POWER
BENEFICIALLY	6	6,948,700
OWNED BY		
		SOLE DISPOSITIVE POWER
EACH	7	0
REPORTING		
PERSON		
		SHARED DISPOSITIVE POWER
WITH	8	6,948,700

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON										
9	6,948,700										

	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*										
10	Not Applicable	☐									

	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)										
11	13.85										

	TYPE OF REPORTING PERSON*										
12	IC										

<table border="0" style="width: 100%;"> <tr> <td style="width: 40%;">-----</td> <td style="width: 20%;"></td> <td style="width: 40%;">-----</td> </tr> <tr> <td>CUSIP NO. 390568 10 3</td> <td>13G</td> <td>PAGE 6 OF 15 PAGES</td> </tr> <tr> <td>-----</td> <td></td> <td>-----</td> </tr> </table>			-----		-----	CUSIP NO. 390568 10 3	13G	PAGE 6 OF 15 PAGES	-----		-----
-----		-----									
CUSIP NO. 390568 10 3	13G	PAGE 6 OF 15 PAGES									
-----		-----									

	NAME OF REPORTING PERSON										
1	S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON										
	GEICO Corporation										

	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*										
2		(a) ☒ (b) ☐									

	SEC USE ONLY										
3											

	CITIZENSHIP OR PLACE OF ORGANIZATION										
4	Delaware Corporation										

	SOLE VOTING POWER										
	5										
NUMBER OF	0										
SHARES											

	SHARED VOTING POWER										
BENEFICIALLY	6										
OWNED BY	6,948,700										

	SOLE DISPOSITIVE POWER										
EACH	7										
REPORTING	0										

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

PERSON	-----	
	SHARED DISPOSITIVE POWER	
WITH	8	6,948,700

9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	6,948,700	

10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*	
	Not Applicable	☐

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)	
	13.85	

12	TYPE OF REPORTING PERSON	
	HC	

CUSIP NO.	390568 10 3	13G
		PAGE 7 OF 15 PAGES

1	NAME OF REPORTING PERSON	
	S.S. or I.R.S. IDENTIFICATION NO. OF ABOVE PERSON	
	Government Employees Insurance Company	

2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*	
	(a)	☒
	(b)	☐

3	SEC USE ONLY	

4	CITIZENSHIP OR PLACE OF ORGANIZATION	
	Maryland Corporation	

	SOLE VOTING POWER	
NUMBER OF	5	0
SHARES	-----	
	SHARED VOTING POWER	
BENEFICIALLY	6	6,948,700
OWNED BY	-----	

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

EACH	SOLE DISPOSITIVE POWER
7	
REPORTING	0
PERSON	-----
WITH	SHARED DISPOSITIVE POWER
8	6,948,700

9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	6,948,700

10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
	Not Applicable ☐

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
	13.85

12	TYPE OF REPORTING PERSON
	IC

Item 1(a). Name of Issuer:

Great Lakes Chemical Corporation

Item 1(b). Address of Issuer's Principal Executive Offices:

500 East 96th Street
Suite 500
Indianapolis, Indiana 46240

Item 2(a). Name of Persons Filing:

Item 2(b). Address of Principal Business Office:

Item 2(c). Citizenship:

Warren E. Buffett
1440 Kiewit Plaza
Omaha, Nebraska 68131
United States Citizen

Berkshire Hathaway Inc.
1440 Kiewit Plaza
Omaha, Nebraska 68131
Delaware Corporation

OBH, Inc.
1440 Kiewit Plaza
Omaha, Nebraska 68131
Delaware Corporation

National Indemnity Company
3024 Harney Street
Omaha, Nebraska 68131

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

Nebraska Corporation

GEICO Corporation
1 Geico Plaza
Washington, DC 20076
Delaware Corporation

Government Employees Insurance Company
1 Geico Plaza
Washington, DC 20076
Maryland Corporation

Item 2(d). Title of Class of Securities:

Common Stock

Item 2(e). CUSIP Number:

390568 10 3

Page 8 of 15

Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or Dealer registered under Section 15 of the Act.
- (b) ☐ Bank as defined in Section 3(a)(6) of the Act.
- (c) ☒ Insurance Company as defined in Section 3(a)(19) of the Act.

National Indemnity Company
Government Employees Insurance Company

- (d) ☐ Investment Company registered under Section 8 of the Investment Company Act of 1940.
- (e) ☐ An investment advisor registered in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g) ☒ A parent holding company or control person in accordance with Rule 13d-1(b)(ii)(G);

GEICO Corporation
OBH, Inc.
Berkshire Hathaway Inc.
Warren E. Buffett (an individual who may be deemed to control Berkshire Hathaway Inc.)

- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;
- (i) ☐ A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

Company Act;

(j) [X] Group in accordance with Rule 13d-1(b)(1)(ii)(J).

See Exhibit A

Item 4. Ownership

Page 9 of 15

Warren E. Buffett

(a) Amount Beneficially Owned:

6,948,700

(b) Percent of Class:

13.85

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

6,948,700

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

6,948,700

Berkshire Hathaway Inc.

(a) Amount Beneficially Owned:

6,948,700

(b) Percent of Class:

13.85

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

6,948,700

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

6,948,700

OBH Inc.

(a) Amount Beneficially Owned:

6,948,700

(b) Percent of Class:

13.85

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

6,948,700

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

6,948,700

National Indemnity Company

(a) Amount Beneficially Owned:

6,948,700

(b) Percent of Class:

13.85

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

-0-

(ii) shared power to vote or to direct the vote:

6,948,700

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

6,948,700

GEICO Corporation

(a) Amount Beneficially Owned:

6,948,700

(b) Percent of Class:

13.85

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

6,948,700

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

6,948,700

Page 12 of 15

Government Employees Insurance Company

(a) Amount Beneficially Owned:

6,948,700

(b) Percent of Class:

13.85

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

-0-

(ii) shared power to vote or to direct the vote:

6,948,700

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

6,948,700

Item 5. Ownership of Five Percent or Less of a Class.

Not Applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

See Exhibit A

Item 8. Identification and Classification of Members of the Group.

See Exhibit A

Page 13 of 15

Item 9. Notice of Dissolution of Group.

Not Applicable

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having such purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

Dated this 14th day of February, 2002

/s/ Warren E. Buffett

Warren E. Buffett

BERKSHIRE HATHAWAY INC.

OBH INC.

By: /s/ Warren E. Buffett

By: /s/ Warren E. Buffett

Warren E. Buffett
Chairman of the Board

Warren E. Buffett
Chairman of the Board

NATIONAL INDEMNITY COMPANY

GEICO CORPORATION

By: /s/ Warren E. Buffett

By: /s/ Warren E. Buffett

Warren E. Buffett

Warren E. Buffett

Page 14 of 15

Chairman of the Board

Chairman of the Board

Government Employees Insurance Company

By: /s/ Warren E. Buffett

Warren E. Buffett
Chairman of the Board

Page 15 of 15

Exhibit A

MEMBERS OF FILING GROUP

PARENT HOLDING COMPANY:

Berkshire Hathaway Inc.

OBH Inc.

GEICO Corporation

Warren E. Buffett (an individual who may be deemed to control Berkshire Hathaway Inc.)

Edgar Filing: GREAT LAKES CHEMICAL CORP - Form SC 13G/A

RELEVANT SUBSIDIARIES THAT ARE INSURANCE COMPANIES AS DEFINED IN SECTION
3(a)(19) OF THE ACT:

National Indemnity Company
Government Employees Insurance Company