Edgar Filing: Genius Brands International, Inc. - Form 4

Genius Brands International, Inc. Form 4 October 28, 2015

October 28, 2	2015										
FORM	14									PPROVAL	
	Washington, D.C. 20549							OMB Number:	3235-0287		
Check thi if no long subject to Section 1 Form 4 or Form 5	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								January 31, 2005 average rs per 0.5	
obligation may cont <i>See</i> Instru 1(b).	inue. Section	17(a) of the 1	Public Ut		ing Com	pany	Act of	f 1935 or Sectio	n		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Hallren Patrick Clark			2. Issuer Name and Ticker or Trading Symbol Genius Brands International, Inc.			5. Relationship of Reporting Person(s) to Issuer					
			[GNUS]					(Check all applicable)			
(Last) 301 N. CAN	(First)	(Middle) SUITE 305	3. Date of (Month/D 10/19/20	-	ansaction			X Director Officer (give below)		Owner er (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
BEVERLY	HILLS, CA 9	0210						Form filed by M Person	Nore than One Re	eporting	
(City)	(State)	(Zip)	Tabl	e I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ity (Month/Day/Year) Execution Da		on Date, if	Date, if Transaction(A) or Disposed of Code (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock, par											

\$0.001 per share

value,

10/19/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

15,000 A

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15,000

(1)

D

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Hallren Patrick Clark 301 N. CANON DRIVE SUITE 305 BEVERLY HILLS, CA 90210	х							
Signatures								
/s/ Patrick Clark 1 Hallren	0/28/2015	i						
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents a restricted stock award under the Issuer's 2015 Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.