

Edgar Filing: LEWIS DANIEL J - Form 3

LEWIS DANIEL J
Form 3
August 15, 2002

OMB APPROVAL

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Lewis Daniel J.

(Last) (First) (Middle)

1108 S.E. 14th Ave.

(Street)

Deerfield Park FL 33441

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

As of 10/24/01

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

Cross Country, Inc. (CCRN)

5. Relationship of Reporting Persons to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Controller

6. If Amendment, Date of Original As of 10/24/01

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7. Individual or Joint/Group Filing (Check applicable line)

Form Filed by One Reporting Person

Form Filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr.) |
|------------------------------------|---|---|-----------------------|
|------------------------------------|---|---|-----------------------|

| | | | |
|--------------|-------|---|--|
| Common Stock | 8,165 | D | |
|--------------|-------|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the Form is filed by more than one Reporting Person, see Instruction 5(b)(v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(Over)
SEC 1473 (3-99)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conve sion Exerc |
|--|---|---------------------------|
| | Amount or | |

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| 1. Title of Derivative Security (Instr. 4) | Date Exercisable | Expiration Date | Title | Number of Shares | Price of Deriv Secur |
|--|------------------|-----------------|--------------|------------------|----------------------|
| Employee Stock Option (right to buy) | (1) | 12/16/09 | Common Stock | 32,052 | (|
| Employee Stock Option (right to buy) | (3) | 12/16/09 | Common Stock | 7,542 | |

Explanation of Responses:

(1) The option is subject to the vesting schedule set forth in the Cross Country, Inc. Amended and Restated Equity Participation Plan. 16,026 shares are immediately exercisable. The option will be exercisable as to 20,033 shares as of June 16, 2002, 24,039 shares as of December 16, 2002, 28,046 shares as of June 16, 2003, and 32,052 shares as of December 16, 2003.

(2) Pursuant to the Cross Country, Inc. Amended and Restated Equity Participation Plan, the first tranche of 5,736 shares will be exercisable at \$7.75 per share, the second tranche of 10,796 shares will be exercisable at \$11.62 per share, the third tranche of 10,796 shares will be exercisable at \$15.50 per share, the fourth tranche of 2,362 shares will be exercisable at \$19.37 per share and the remaining tranche of 2,362 shares will be exercisable at \$23.25 per share.

(3) The option is subject to the vesting schedule set forth in the Cross Country, Inc. Amended and Restated 1999 Stock Option Plan. The option is immediately exercisable as to 3,771 shares. The option will be exercisable as to 5,657 shares as of December 16, 2002, 7,542 as of December 16, 2003.

Daniel J. Lewis

/s/ Daniel J. Lewis

8/13/02

 **Signature of Reporting Person

 Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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