

MANDRACCHIA STEPHEN P

Form 4

August 22, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MANDRACCHIA STEPHEN P

2. Issuer Name **and** Ticker or Trading
Symbol

HUDSON TECHNOLOGIES INC
/NY [HDSN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

08/18/2014

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)

VP Legal & Regulatory

PO BOX 1541, ON BLUE HILL
PLAZA

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

__X__ Form filed by One Reporting Person

____ Form filed by More than One Reporting
Person

PEARL RIVER, NY 10965

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	06/05/2017		G	V	100	D	\$ 0	1,621,161 ⁽¹⁾ ₍₂₎	I	Held by Spouse and Children
Common Stock	08/03/2017		G	V	5,000	D	\$ 0	1,616,161 ⁽¹⁾ ₍₂₎	I	Held by Spouse and Children
Common Stock	08/18/2017		M		76,855	A	\$ 3.23	1,616,161 ⁽²⁾ ₍₃₎	I	Held by Spouse and

Common Stock	08/18/2017	M	28,145	A	\$ 3.55	1,616,161 ⁽²⁾ <u>(4)</u>	I	Children Held by Spouse and Children
Common Stock	08/18/2017	F	59,088 <u>(5)</u>	D	\$ 8.56 <u>(6)</u>	1,557,073 ⁽²⁾ <u>(4)</u>	I	Held by Spouse and Children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3.55	08/18/2017		M		28,145		10/01/2014	10/01/2017	Common Stock	28,145
Stock Option (Right to Buy)	\$ 3.23	08/18/2017		M		76,855		10/01/2014	10/01/2017	Common Stock	76,855

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MANDRACCHIA STEPHEN P PO BOX 1541 ON BLUE HILL PLAZA PEARL RIVER, NY 10965	VP Legal & Regulatory

Signatures

Stephen P
Mandraccia

08/22/2017

Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 438,000 shares which may be purchased pursuant to stock options.
- (2) Includes 443,000 shares held by Reporting Person and 9,800 shares held by Reporting Person's children.
- (3) Includes 361,145 shares which may be purchased pursuant to options
- (4) Includes 333,000 shares which may be purchased pursuant to stock options
- (5) No shares sold on the open market. Pursuant to provisions of the Company's Stock Incentive Plan, shares from option exercises were applied by the Company to cover the purchase price and taxes due upon exercise of options.
- (6) Closing Stock Price on August 18, 2017

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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