

LUCENT TECHNOLOGIES INC

Form 4

November 30, 2006

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RUSSO PATRICIA F

2. Issuer Name and Ticker or Trading  
Symbol  
LUCENT TECHNOLOGIES INC  
[LU]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
600 MOUNTAIN AVENUE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/30/2006

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman and CEO

MURRAY HILL, NJ 07974

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/30/2006		D	3,489,344 (1)	D \$ 0 0 (1)	D	
Common Stock	11/30/2006		D	354,539 (1)	D \$ 0 0 (1)	I	By 401K
Common Stock	11/30/2006		D	350,000 (1)	D \$ 0 0 (1)	I	By Husband

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Options (Right to buy)	\$ 1.42	11/30/2006		D	2,500,000	11/30/2006	12/15/2009	Common Stock	2,500,000
Employee Stock Options (Right to buy)	\$ 2.42	11/30/2006		D	2,000,000	(3)	10/31/2013	Common Stock	2,000,000
Employee Stock Options (Right to buy)	\$ 2.82	11/30/2006		D	2,250,000	(4)	11/30/2012	Common Stock	2,250,000
Employee Stock Options (Right to buy)	\$ 3.21	11/30/2006		D	2,500,000	(5)	11/30/2010	Common Stock	2,500,000
Employee Stock Options (Right to buy)	\$ 3.955	11/30/2006		D	2,250,000	(6)	11/30/2011	Common Stock	2,250,000
Employee Stock Options (Right to buy)	\$ 6.26	11/30/2006		H	3,101,763	11/30/2006	01/05/2012	Common Stock	3,101,763
Employee Stock Options (Right to	\$ 6.26	11/30/2006		D	2,268,200	11/30/2006	01/05/2007	Common Stock	2,268,200

buy)

Employee  
Stock

Options	\$ 11.503	11/30/2006	D	94,588	11/30/2006	01/20/2007	Common Stock	94
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buy)

Employee  
Stock

Options	\$ 18.964	11/30/2006	D	456,950	11/30/2006	10/06/2007	Common Stock	45
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buy)

Employee  
Stock

Options	\$ 25.7	11/30/2006	D	342,713	11/30/2006	10/04/2008	Common Stock	34
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buy)

Employee  
Stock

Options	\$ 46.148	11/30/2006	D	1,142,378	11/30/2006	02/15/2010	Common Stock	1,14
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buy)

Employee  
Stock

Options	\$ 51.236	11/30/2006	D	616,884	11/30/2006	10/24/2009	Common Stock	61
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buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RUSSO PATRICIA F 600 MOUNTAIN AVENUE MURRAY HILL, NJ 07974	X		Chairman and CEO	

## Signatures

Patricia F. Russo, by William R. Carapezzi, Jr., as  
attorney-in-fact

11/30/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(2) Pursuant to the terms of the merger agreement, each option to purchase Lucent stock was converted into the right to purchase 0.1952 of an American Depositary Share of Alcatel-Lucent ( the combined company).

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- (6) As of 11/30/2006, there were 562,500 options that were fully vested and exercisable. The remaining unvested options, after conversion, will vest in 3 equal annual installments on each of 12/1/2006, 12/1/2007 and 12/1/2008.
- (3) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 11/1/2007.
- (4) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments, beginning on 12/1/2006.
- (1) Pursuant to the terms of the merger agreement between Alcatel and Lucent Technologies Inc., each share of Lucent common stock, par value \$.01 per share, was converted into 0.1952 of an American Depositary Share of Alcatel-Lucent ( the combined company).
- (5) On 11/30/2006, there were 1,250,000 options that were fully vested and exercisable. The remaining unvested options, after conversion, will vest in equal installments on 12/1/2006 and 12/1/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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