

GLU MOBILE INC

Form 3

March 21, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â MORAN RICHARD A

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/21/2007

3. Issuer Name and Ticker or Trading Symbol

GLU MOBILE INC [GLUU]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)

GLU MOBILE INC.,Â 1800  
GATEWAY DRIVE, SECOND  
FLOOR

(Street)

SAN MATEO,Â CAÂ 94404

(City)

(State)

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

60,000

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series D Preferred Stock	Â (1)	Â (2)	Common Stock	2,666	\$ (3)	I	Held by Trust (4)
Director Stock Option (right to buy)	02/02/2006(5)	02/02/2016	Common Stock	15,000	\$ 3.57	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORAN RICHARD A GLU MOBILE INC. 1800 GATEWAY DRIVE, SECOND FLOOR SAN MATEO, CA 94404	Â X	Â	Â	Â

## Signatures

/s/ Richard A. Moran by Kevin Chou,  
Attorney-in-Fact

03/21/2007

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Convertible at any time.
- (2) Not applicable.
- (3) Each share of Preferred Stock will convert into one share of Common Stock upon the closing of the Issuer's initial public offering.
- (4) These securities are beneficially owned by the Moran Family 2003 Revocable Trust. This report shall not be deemed an admission that Mr. Moran is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (5) Immediately exercisable. Option vests over four years with 25% of the shares vesting one year after grant, and the remaining shares vesting in equal monthly installments thereafter for a period of three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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