

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II

Form NSAR-A

October 23, 2009

PAGE 1
000 A000000 08/31/2009
000 C000000 0001227857
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 NICHOLAS APPLGATE CONVERTIBLE & INCOME FD II
001 B000000 811-21338
001 C000000 2127393371
002 A000000 1345 AVENUE OF AMERICAS
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10105
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-57798
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10105
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC
008 B000002 S
008 C000002 801-21442
008 D010002 SAN DIEGO
008 D020002 CA
008 D030002 92101
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-57798
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10105
012 A000001 PNC GLOBAL INVESTMENT SERVICING
012 B000001 84-58023
PAGE 2
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

013 B020001 NY
013 B030001 10017
014 A000001 DELETE
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC
014 B000002 8-045406
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000003 8-041811
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC
014 B000004 8-013630
014 A000005 QUESTAR CAPITAL CORP.
014 B000005 8-050174
014 A000006 DELETE
014 A000007 DELETE
014 A000008 DELETE
014 A000009 DELETE
014 A000010 DELETE
014 A000011 DELETE
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 77
019 C000000 ALLIANZGLO
020 A000001 WELLS FARGO & CO.
020 B000001 41-1508325
020 C000001 16
020 A000002 KEYBANC CAPITAL MARKETS, INC.
020 B000002 34-1391952
020 C000002 10
020 A000003 JEFFERIES & COMPANY, INC.
020 B000003 95-2622900
020 C000003 9
020 A000004 WILLIAM BLAIR & CO., LLC
020 B000004 36-2214610
020 C000004 8
020 A000005 BANK OF AMERICA CORP.
020 B000005 56-2058466
020 C000005 8
020 A000006 JONESTRADING INSTITUTIONAL SECURITIES LLC
020 B000006 51-0484896
020 C000006 8
PAGE 3
020 A000007 THE GOLDMAN SACHS GROUP, INC.
020 B000007 13-4019460
020 C000007 7
020 A000008 ROBERT W BAIRD & CO., INC.
020 B000008 39-6037917
020 C000008 7
020 A000009 INSTINET
020 B000009 13-2625132
020 C000009 6
020 A000010 CITIGROUP, INC.
020 B000010 52-1568099
020 C000010 6
021 000000 184
022 A000001 CREDIT SUISSE GROUP
022 B000001 13-5659485
022 C000001 21127

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

022 D000001 18527
022 A000002 BANK OF AMERICA CORP
022 B000002 56-2058466
022 C000002 28251
022 D000002 8022
022 A000003 THE GOLDMAN SACHS GROUP, INC.
022 B000003 13-4019460
022 C000003 19803
022 D000003 6443
022 A000004 CITIGROUP, INC.
022 B000004 52-1568099
022 C000004 17149
022 D000004 7576
022 A000005 BARCLAYS CAPITAL
022 B000005 05-0346412
022 C000005 10800
022 D000005 11517
022 A000006 MORGAN STANLEY & CO., INC.
022 B000006 13-2655998
022 C000006 13105
022 D000006 6837
022 A000007 JPMORGAN CHASE & CO.
022 B000007 13-2624428
022 C000007 12684
022 D000007 4349
022 A000008 DEUTSCHE BANK SECURITIES, INC.
022 B000008 13-2730828
022 C000008 6134
022 D000008 6631
022 A000009 BROADPOINT CAPITAL, INC.
022 B000009 11-2657332
022 C000009 198
022 D000009 11259
022 A000010 WELLS FARGO & CO.
022 B000010 41-1508325

PAGE 4

022 C000010 7972
022 D000010 2860
023 C000000 161562
023 D000000 105480
024 000000 Y
025 A000001 CITIBANK
025 B000001 52-1568099
025 C000001 D
025 D000001 40171
025 A000002 BANK OF AMERICA CORP.
025 B000002 56-2058466
025 C000002 E
025 D000002 9605
025 A000003 WELLS FARGO & CO.
025 B000003 41-1508325
025 C000003 E
025 D000003 8823
025 A000004 CREDIT SUISSE GROUP
025 B000004 13-5659485
025 C000004 E
025 D000004 6419
025 A000005 DELETE
025 D000005 0
025 A000006 DELETE
025 D000006 0
025 A000007 DELETE

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	N
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
	PAGE 5	
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.700
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 PAGE 6
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 Y
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 5.6
062 K000000 0.0

PAGE 7

062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 45.3
062 Q000000 34.8
062 R000000 14.6
063 A000000 0
063 B000000 10.7

064 A000000 N
064 B000000 Y

065 000000 N

066 A000000 N

067 000000 N

068 A000000 N

068 B000000 N

069 000000 N

070 A010000 Y

070 A020000 N

070 B010000 Y

070 B020000 N

070 C010000 Y

070 C020000 N

070 D010000 Y

070 D020000 N

070 E010000 Y

070 E020000 N

070 F010000 Y

070 F020000 N

070 G010000 Y

070 G020000 N

070 H010000 Y

070 H020000 N

070 I010000 N

070 I020000 N

070 J010000 Y

070 J020000 Y

070 K010000 Y

070 K020000 N

070 L010000 Y

070 L020000 N

070 M010000 N

070 M020000 N

070 N010000 Y

070 N020000 N

070 O010000 Y

070 O020000 N

070 P010000 N

070 P020000 N

070 Q010000 N

070 Q020000 N

PAGE 8

070 R010000 Y

070 R020000 N

071 A000000 202841

071 B000000 222928

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

071 C000000	610701
071 D000000	33
072 A000000	6
072 B000000	28648
072 C000000	3950
072 D000000	0
072 E000000	55
072 F000000	2224
072 G000000	0
072 H000000	0
072 I000000	16
072 J000000	48
072 K000000	0
072 L000000	66
072 M000000	32
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	48
072 S000000	43
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	300
072 X000000	2777
072 Y000000	0
072 Z000000	29876
072AA000000	0
072BB000000	108960
072CC010000	283742
072CC020000	0
072DD010000	30621
072DD020000	0
072EE000000	0
073 A010000	0.5100
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	40172
074 D000000	570645
074 E000000	101282
074 F000000	2545
074 G000000	0
074 H000000	0
PAGE 9	
074 I000000	0
074 J000000	0
074 K000000	0
074 L000000	11375
074 M000000	48
074 N000000	726067
074 O000000	8302
074 P000000	421
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	5223
074 S000000	274000

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II - Form NSAR-A

074	T000000	438121
074	U010000	60182
074	U020000	0
074	V010000	7.28
074	V020000	0.00
074	W000000	0.0000
074	X000000	114
074	Y000000	0
075	A000000	0
075	B000000	632329
076	000000	7.47
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	0
	PAGE	10
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	65370G109
087	A030000	NCZ
087	B010000	PREFERRED SHARES SERIES A
087	B020000	65370G208
087	C010000	PREFERRED SHARES SERIES B
087	C020000	65370G307
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	LAWRENCE ALTADONNA	
TITLE	TREASURER & CEO	

