Edgar Filing: SMITH J KAY - Form 4

SMITH J KAY Form 4 February 19, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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| Name and Address of Reporting Person* Smith, J. Kay | | | | | | and Ticker ration AE | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
|--|---|--|-----------------------------------|----------------------|---|--|---|---------|--|--------|--|---|--|--|
| (Last) (First) (Middle) P. O. Box 66149 | | | | of Reporting Person, | | | | | ment for Day/Year ary 19, 2003 | 109 | | | | |
| | | | | | | | | | | | <u>e President</u> bsidiary | <u>of</u> | | |
| (Street) St. Louis, MO 63166-6149 | | | | | | | | | 5. If Amendment, Date of Original (Month/Day/Year) | | 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| | | | | | | | | | | | | | | |
| (City) (St | ate) (Zip) | | | Table | I | Non-Deri | ivative | Securit | ies Acquired, Dis | sposed | of, or Benef | icially Owned | | |
| Title of Security (Instr. 3) | 2. Trans- action Execution Date Date, (Month/ Day/ Year) (Month/D Year) | | on action (Code (Instr. 8) | | | 4. Securities Acc (A) or Disposed (Instr. 3, 4 & 5) Amount (A) or (D) | | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4) | | 6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Preferred Stock | | | | | | | | | | 1 | D | | | |
| Common Stock, \$.01 Par Value | | | | | | | | | | 3 | D | | | |
| Common Stock, \$.01 Par Value | | | | | | | | | | 198 | I | By 401(k) | | |
| Common Stock, \$.01 Par Value | | | | | | | | | | 597 | I | By ESOP | | |
| Common Stock, \$.01 Par Value | | | | | | | | | | 1,761 | D | | | |
| Common Stock, \$.01 Par Value | | | | | | | | | | 1,693 | D | | | |
| Common Stock, \$.01 Par Value | 02/14/03 | | | A | | 1,706 <u>(1)</u> | A | \$39.41 | | 1,706 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. Conver- | 3. | 3A. | 4. | 5. | | 6. Date Exerc | isable | 7. Title and | | 8. Price of | 9. Number of | 10. | 11. Nature |
|-------------|------------|---------|-----------|---------|------|-------|---------------|---------|--------------|--------|-------------|---|------------|-------------|
| Derivative | sion or | Trans- | Deemed | Trans- | Nur | nber | and Expiratio | n | Amount of | | Derivative | Derivative | Owner- | of Indirect |
| Security | Exercise | action | Execution | action | of | | Date | | Underlying | | Security | Securities | ship | Beneficial |
| | Price of | Date | Date, | Code | Der | ivati | (MeIonth/Day/ | | Securities | | (Instr. 5) | Beneficially | Form | Ownership |
| (Instr. 3) | Derivative | | if any | | | | Y ear) | | (Instr. 3 & | z 4) | | Owned | of Deriv- | (Instr. 4) |
| | Security | (Month/ | (Month/ | (Instr. | Acq | uire | d | | | | | Following | ative | |
| | | Day/ | Day/ | 8) | (A) | or | | | | | | Reported | Security: | |
| | | Year) | Year) | | Dis | ose | d | | | | | Transaction(s) | Direct | |
| | | | | | of (| D) | | | | | | (Instr. 4) | (D) | |
| | | | | | | | | | | | | (====================================== | or | |
| | | | | | (Ins | tr. | | | | | | | Indirect | |
| | | | | | 3, 4 | & | | | | | | | (I) | |
| | | | | | 5) | | | | | | | | (Instr. 4) | |
| | | | | Code V | (A) | (D) | Date | Expira- | Title | Amount | 1 | | | |
| | | | | | . , | | | tion | | or | | | | |
| | | | | | | | | Date | | Number | | | | |
| | | | | | | | | | | of | | | | |
| | | | | | | | | | | Shares | | | | |
| Stock | | | | | | | | | Common | | | 21,250 | D | |
| Option | | | | | | | | | Stock | | | · | | |
| | | | | | | | | | \$.01 Par | | | | | |
| | | | | | | | | | Value | | | | | |

Explanation of Responses:

(1) Grant of restricted stock.

By: /s/ G. L. Waters
G. L. Waters, Asst. Secy. for J. Kay Smith

**Signature of Paragraphy Page 1.

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).