

BARNES THOMAS O  
Form 4  
November 06, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARNES THOMAS O

(Last) (First) (Middle)

BARNES GROUP INC., 123 MAIN STREET

(Street)

BRISTOL, CT 06010

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
BARNES GROUP INC [B]

3. Date of Earliest Transaction (Month/Day/Year)  
07/26/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D) Code V Amount Price                                |   |  |   |
| Common Stock                    | 07/26/2017                           |  | G <sup>(1)</sup>               | V 63,539 D \$ 0   | 72,626 <sup>(3)</sup>   | I  | Trust #42-01-102-25                                   |
| Common Stock                    | 07/26/2017                           |  | G <sup>(1)</sup>               | V 9,077 A \$ 0  | 351,648.06 <sup>(2)</sup>   | D  |   |
| Common Stock                    | 11/03/2017                           |  | S                              | 1,500 D \$ 66.3634  | 350,148.06 <sup>(2)</sup>   | D  |   |
| Common Stock                    | 11/06/2017                           |  | G                              | V 2,500 D \$ 0  | 347,648.06 <sup>(2)</sup>   | D  |   |
| Common Stock                    |                                      |  |                                |   | 34,788.31   | I  | By 401(k) Plan  |

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|              |                         |   |                     |
|--------------|-------------------------|---|---------------------|
| Common Stock | 4,307.87 <sup>(3)</sup> | I | By my wife          |
| Common Stock | 39,221 <sup>(3)</sup>   | I | Trust #42-01-100-85 |
| Common Stock | 413,581 <sup>(3)</sup>  | I | Trust #42-01-100-85 |
| Common Stock | 75,898 <sup>(3)</sup>   | I | Trust #42-01-100-85 |
| Common Stock | 160,929 <sup>(3)</sup>  | I | Trust #42-01-100-85 |
| Common Stock | 482,133 <sup>(3)</sup>  | I | Trust #42-01-100-85 |
| Common Stock | 410,878 <sup>(3)</sup>  | I | Trust #42-01-100-85 |
| Common Stock | 84,018 <sup>(3)</sup>   | I | Trust #42-01-100-85 |
| Common Stock | 83,664 <sup>(3)</sup>   | I | Trust#42-01-102-254 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| BARNES THOMAS O<br>BARNES GROUP INC.<br>123 MAIN STREET<br>BRISTOL, CT 06010 | X             |           |         |       |

## Signatures

|  |                     |
|--|---------------------|
| William K. Piotrowski, pursuant to a Power of Attorney | 11/06/2017          |
| <small>**Signature of Reporting Person</small>         | <small>Date</small> |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects distribution to trust beneficiaries.
- (2) Includes a balance of 510 Restricted Stock Units granted 2/7/2017 that are subject to forfeiture if certain events occur.
- (3) Reporting Person hereby disclaims beneficial ownership of shares held by his Wife, and the Trusts except to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.