Edgar Filing: Houghton Mifflin Harcourt Co - Form 4

Houghton Mifflin Harcour Form 4 July 01, 2015	t Co								
FORM 4 UNITH Check this box if no longer	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							OMB APPROVAL OMB 3235-0287 Number: January 31, 2005	
Subject toEstimated averageSection 16.SECURITIESburden hours per									
(Print or Type Responses)									
1. Name and Address of Report Ramsayer Lee Raymond						5. Relationship of Reporting Person(s) to ssuer (Check all applicable)			
(Last) (First) C/O HOUGHTON MIFF HARCOURT CO.,, 222 BERKELEY STREET					- t	Director 10% Owner _X Officer (give title Other (specify below) See remarks.			
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)				A	 6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person 			
BOSTON, MA 02116						Ē	Form filed by Mo Person	ore than One Rej	porting
(City) (State)	(Zip)	Table 1	I - Non-l	Derivative	Secu	rities Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security2. Transaction E (Month/Day/Ye. (Instr. 3)	ar) Execution any	Deemed 3. 4. Securit ution Date, if Transactionor Dispos Code (Instr. 3, 4 th/Day/Year) (Instr. 8)			osed of (D) Se , 4 and 5) Be (A) Tr (A) Tr		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common 06/30/2015 Stock			ode V M	Amount 20,000	(D) A	Price \$ 12.5	(Instr. 3 and 4) 75,753	D	
Common 06/30/2015 Stock		S	<u>S(1)</u>	20,000	D	\$ 25.2837 (2)	55,753	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 12.5	06/30/2015		М		20,000	<u>(3)</u>	11/07/2019	Common Stock	20,000

Reporting Owners

Reporting Owner Name / Address		Relationships						
F B	Director	10% Owner	Officer	Other				
Ramsayer Lee Raymond C/O HOUGHTON MIFFLIN HARCOURT 222 BERKELEY STREET BOSTON, MA 02116	СО.,		See remarks.					
Signatures								
/s/ William F. Bayers, Attorney-in-Fact	07/01/2015							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.

The sale price represents the weighted average sale price for multiple transactions reported on this line. The prices of the transactions ranged from \$25.1159 to \$25.49. Upon request of the staff of the Securities and Exchange Commission, Houghton Mifflin Harcourt

- (2) Tanged from \$25,115 to \$25,45. Opon request of the start of the Securities and Exchange Commission, notignion within fractour Company (the "Company") or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold at each separate price.
- (3) These employee stock options have vested and are currently exercisable.

Remarks:

Executive Vice President, U.S. Sales. William F. Bayers is the Executive Vice President, Secretary and General Counsel of the

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.