

HARBINGER GROUP INC.
Form 4
December 02, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Maura David M

2. Issuer Name and Ticker or Trading Symbol
HARBINGER GROUP INC. [HRG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/27/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O HARBINGER GROUP INC., 450 PARK AVENUE, 30TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10022

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock ⁽¹⁾	11/27/2013		M		10,538	A	\$ 4.86
Common Stock ⁽¹⁾	11/27/2013		S		2,885	D	\$ 12
Common Stock ⁽¹⁾	11/27/2013		S		7,653	D	\$ 12
Common Stock ⁽¹⁾	11/29/2013		M		51,208	A	\$ 4.86
Common Stock ⁽¹⁾	11/29/2013		S		51,208	D	\$ 12 ⁽²⁾

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option ⁽¹⁾	\$ 4.86	11/27/2013		M	10,538	10/01/2012	02/14/2022	Common Stock	10,538
Employee Stock Option ⁽¹⁾	\$ 4.86	11/29/2013		M	51,208	10/01/2012	02/14/2022	Common Stock	51,208

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Maura David M
C/O HARBINGER GROUP INC.
450 PARK AVENUE, 30TH FLOOR
NEW YORK, NY 10022

X

Signatures

/s/ David M.
Maura 12/02/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transactions reported in this report were effected pursuant to a Rule 10b5-1 trading plan.

(2) The price shown in this Column is the weighted average purchase price of these Shares on the transaction date. The price range for the purchases is \$12.00 to \$12.12 per Share. The Reporting Person undertakes to provide upon request by the staff of the Securities and

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Exchange Commission, the Issuer or a security holder of the Issuer full information regarding the number of Shares purchased at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.