#### Edgar Filing: ARLOTTA JOHN - Form 3

ARLOTTA JOHN Form 3 March 02, 2018

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **ARLOTTA JOHN** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/23/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Express Scripts Holding Co. [ESRX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Filed(Month/Day/Year)

5. If Amendment, Date Original

C/O EXPRESS SCRIPTS HOLDING COMPANY, ONE **EXPRESS WAY** 

(Street)

Director \_X\_\_ Officer 10% Owner

Other (give title below) (specify below) CEO, eviCore healthcare

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

ST. LOUIS, MOÂ 63121

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form: Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Date

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4 Conversion or Exercise

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

**Expiration Title** Exercisable Date

Amount or Number of Price of Derivative Security: Security Direct (D)

1

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Shares

or Indirect (I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARLOTTA JOHN C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS Â MOÂ 63121	Â	Â	CEO, eviCore healthcare	Â

## **Signatures**

/s/ Nick H. Varsam, as Attorney-in-Fact for John J. Arlotta

03/02/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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### **Remarks:**

### EXHIBIT LIST - Exhibit 24 - Power of Attorney for John J. Arlotta

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2