

Edgar Filing: SYNERGY TECHNOLOGIES CORP - Form 5

SYNERGY TECHNOLOGIES CORP

Form 5

December 19, 2001

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instructions 1(b).

Form 3 Holdings Reported

Form 4 Transactions Reported

-----  
OMB APPROVAL  
-----

OMB Number: 3235-0362  
Expires: December 31, 2001  
Estimated average burden  
hours per response...0.5  
-----

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

---

1. Name and Address of Reporting Person

|        |         |          |
|--------|---------|----------|
| Cooley | Thomas  | E.       |
| -----  | -----   | -----    |
| (Last) | (First) | (Middle) |

5215 Spanish Oak

-----  
(Street)

|         |         |       |
|---------|---------|-------|
| Houston | Texas   | 77066 |
| -----   | -----   | ----- |
| (City)  | (State) | (Zip) |

---

2. Issuer Name and Ticker or Trading Symbol

Synergy Technologies Corporation  
"OILS"

---

3. IRS or Social Security Number of Reporting Person (Voluntary)

---

4. Statement for Month/Year

December 2001

---

5. If Amendment, Date of Original (Month/Year)

---

6. Relationship of Reporting Person to Issuer

**Edgar Filing: SYNERGY TECHNOLOGIES CORP - Form 5**

(Check all applicable)

- 10% Owner
- Director
- Officer (Give Title Below)
- Other (Specify Below)

CEO

-----

-----

7. Individual or Joint/Group Reporting  
(Check Applicable Line)

- Form Filed by One Reporting Person
- Form Filed by More than One Reporting Person

TABLE I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.                                | 2.   | 3.                                     | 4.   | 5.  |
|-----------------------------------|--|--|--|---|
| Title of Security<br>(Instr. 3)   | Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | Trans-<br>action<br>Code<br>(Instr. 8) | Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | Amount of<br>Securities<br>Beneficially<br>Owned at<br>End of<br>Month<br>(Instr.<br>3 and 4) |
|                                   |  |  | Amount (A) or<br>Price (D)   |   |
| Common Stock \$0.002<br>par value |  |  |  | 847,659   |

\* If the form is filed by more than one reporting person, see instruction 4(b)(v)

TABLE II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g. puts, calls, warrants, options, convertible securities)

| 1.       | 2.                                    | 3.                       | 4.               | 5.   | 6.   | 7.  | 8.                                       |
|----------|---------------------------------------|--------------------------|------------------|--|--|---|--|
| Title of | Conversion or<br>Exercise<br>Price of | Trans-<br>action<br>Date | Trans-<br>action | Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3, | Date Exer-<br>cisable and<br>Expiration<br>Date (Month/<br>Day/Year)<br>Date | Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3<br>and 4)<br>Amount | Price<br>of<br>Deriv-<br>ative<br>Secur- |

**Edgar Filing: SYNERGY TECHNOLOGIES CORP - Form 5**

| Derivative Security (Instr. 3) | Derivative Security        | (Month/Day/Year) | Code (Instr. 8) | 4 and 5 (A) (D) | Exercisable | Expiration Date   | Title | Number of Shares | Quantity (Instr. 5) |
|--------------------------------|----------------------------|------------------|-----------------|-----------------|-------------|-------------------|-------|------------------|---------------------|
|                                | Options to purchase shares |                  |                 |                 |             | 10/16/00 10/15/10 |       |                  | \$1.00              |

Explanation of Responses:

|  |                                    |
|--|------------------------------------|
| _____<br>/s/ Thomas Cooley<br>** Signature of Reporting Person | _____<br>December 14, 2001<br>Date |
|--|------------------------------------|

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.