

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP

Form NSAR-A

August 26, 2011

PAGE 1
000 A000000 06/30/2011
000 C000000 0000099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6126715682
002 A000000 50606 AMERIPRISE FINANCIAL CENTER
002 B000000 MINNEAPOLIS
002 C000000 MN
002 D010000 55474
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
010 C030001 02110
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-5685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02111
013 A000001 ERNST & YOUNG LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 SECURITIES AMERICA, INC.
014 B000003 8-26602
014 A000004 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000004 8-16791
PAGE 2
014 A000005 RIVERSOURCE DISTRIBUTORS, INC.
014 B000005 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 C030001 10005
015 E010001 X
015 A000002 JPMORGAN CHASE BANK, N.A.
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10005
015 E040002 X
018 000000 Y
019 A000000 Y
019 B000000 207
019 C000000 COLUMBIAFD
020 A000001 BARCLAYS CAPITAL
020 C000001 66
020 A000002 UBS SECURITIES LLC
020 B000002 13-3873456
020 C000002 17
020 A000003 INSTINET LLC
020 B000003 13-3443395
020 C000003 12
020 A000004 Goldman Sachs Group
020 B000004 13-5108880
020 C000004 11
020 A000005 J.P. MORGAN SECURITIES, INC.
020 B000005 13-3224016
020 C000005 8
020 A000006 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000006 13-5659485
020 C000006 4
020 A000007 LIQUIDNET, INC.
020 B000007 13-4095933
020 C000007 3
020 A000008 MORGAN STANLEY
020 B000008 13-2655998
020 C000008 2
020 A000009 DEUTSCHE BANK SECURITIES INC.
020 B000009 13-2730828
020 C000009 1
020 A000010 COWEN & CO., LLC
020 B000010 38-3698933
020 C000010 1
021 000000 125
022 A000001 DEUTSCHE BANK SECURITIES INC.
022 B000001 13-2730828
022 C000001 123827
PAGE 3
022 D000001 135126
022 A000002 CREDIT SUISSE SECURITIES (USA) L.L.C.
022 B000002 13-5659485
022 C000002 52559
022 D000002 46169
023 C000000 176387
023 D000000 181295
024 000000 Y
025 A000001 CITIGROUP, INC.
025 B000001 11-2418191
025 C000001 E
025 D000001 6040
025 A000002 FRANKLIN RESOURCES, INC.
025 B000002 13-2670991
025 C000002 E
025 D000002 20193

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

025 A000003 The Goldman Sachs Group, Inc.
 025 B000003 13-5108880
 025 C000003 E
 025 D000003 7351
 025 A000004 JPMorgan Chase & Co.
 025 B000004 13-3224016
 025 C000004 E
 025 D000004 33927
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 Y
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0
 028 D030000 0
 028 D040000 0
 028 E010000 0
 PAGE 4
 028 E020000 0
 028 E030000 0
 028 E040000 0
 028 F010000 0
 028 F020000 0
 028 F030000 0
 028 F040000 0
 028 G010000 0
 028 G020000 0
 028 G030000 0
 028 G040000 0
 028 H000000 0
 030 A000000 0
 030 B000000 0.00
 030 C000000 0.00
 031 A000000 0
 031 B000000 0
 032 000000 0
 033 000000 0
 035 000000 0
 036 B000000 0
 038 000000 0
 042 A000000 0
 042 B000000 0
 042 C000000 0
 042 D000000 0

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

042 E000000 0
 042 F000000 0
 042 G000000 0
 042 H000000 0
 043 000000 0
 044 000000 0
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 500000
 048 A020000 0.060
 048 B010000 500000
 048 B020000 0.055
 048 C010000 2000000
 048 C020000 0.050
 048 D010000 9000000
 048 D020000 0.040
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 PAGE 5
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 12000000
 048 K020000 0.030
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 Y
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 Y
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0

PAGE 6

062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 Y
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 Y
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 Y
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 N
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 Y
070 O010000 Y
070 O020000 N

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

070 P010000 Y
 070 P020000 N
 070 Q010000 Y
 070 Q020000 N
 070 R010000 Y
 PAGE 7
 070 R020000 N
 071 A000000 273523
 071 B000000 287978
 071 C000000 1150466
 071 D000000 24
 072 A000000 6
 072 B000000 56
 072 C000000 12466
 072 D000000 0
 072 E000000 0
 072 F000000 2019
 072 G000000 321
 072 H000000 0
 072 I000000 550
 072 J000000 14
 072 K000000 1
 072 L000000 0
 072 M000000 17
 072 N000000 0
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 56
 072 S000000 14
 072 T000000 0
 072 U000000 0
 072 V000000 169
 072 W000000 94
 072 X000000 3255
 072 Y000000 0
 072 Z000000 9267
 072AA000000 29758
 072BB000000 0
 072CC010000 53148
 072CC020000 0
 072DD010000 0
 072DD020000 0
 072EE000000 0
 073 A010000 0.1300
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 0
 074 B000000 40623
 074 C000000 0
 074 D000000 0
 074 E000000 0
 074 F000000 1157143
 074 G000000 0
 074 H000000 0
 074 I000000 48389
 PAGE 8
 074 J000000 0
 074 K000000 0
 074 L000000 7013
 074 M000000 70

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

074	N000000	1253238
074	O000000	0
074	P000000	16
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	85807
074	S000000	0
074	T000000	1167415
074	U010000	0
074	U020000	0
074	V010000	17.24
074	V020000	0.00
074	W000000	0.0000
074	X000000	19420
074	Y000000	672
075	A000000	0
075	B000000	1118908
076	000000	14.93
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	Y
077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	49
086	A020000	713
	PAGE	9
086	B010000	679
086	B020000	9836
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	TRI-CONTINENTAL - COMMON STOCK
087	A020000	895436103
087	A030000	TY
087	B010000	TRI-CONTINENTAL - PREFERRED STOCK

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

087 B020000 895436202
087 B030000 TY
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: MA Date: August 19, 2011

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Wade M. Voigt

Wade M. Voigt
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION