

ALLIANCE ONE INTERNATIONAL, INC.

Form 4

October 15, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Fink Nicholas A

(Last) (First) (Middle)

C/O ALLIANCE ONE  
INTERNATIONAL, INC., 8001  
AERIAL CENTER PARKWAY

(Street)

MORRISVILLE, NC 27560

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ALLIANCE ONE  
INTERNATIONAL, INC. [AOI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/13/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Corp Controller & CCO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock				(A) 5,786		D	
Common Stock				(A) 5,102		D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option Right to Buy	\$ 60	10/13/2015		D	1,000	03/24/2012 <sup>(1)</sup> 03/24/2021	Common Stock	1,000
Employee Stock Option Right to Buy	\$ 60	10/13/2015		D	4,000	04/17/2013 <sup>(2)</sup> 04/17/2013	Common Stock	4,000
Restricted Stock Units	<u>(6)</u>	10/13/2015		A	879	10/13/2016 <sup>(3)</sup> 10/13/2018	Common Stock	879

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

Fink Nicholas A  
C/O ALLIANCE ONE INTERNATIONAL, INC.  
8001 AERIAL CENTER PARKWAY  
MORRISVILLE, NC 27560

Corp Controller & CCO

## Signatures

Laura D. Jones,  
ATTORNEY-IN-FACT

10/15/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One-fifth each anniversary over 5 years beginning on 03/24/2012.

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- (2) One-fifth each anniversary over 5 years beginning on 04/17/2013.
- (3) Fifty percent on the first anniversary, twenty-five percent on each the second & third anniversary from date of grant.
- (4) Reporting person disposed of 1000 options in exchange for 152 restricted stock units in an issuer exchange offer.
- (5) Reporting person disposed of 4000 options in exchange for 727 restricted stock units in an issuer exchange offer.
- (6) Each restricted stock unit represents a contingent right to receive one share of Alliance One International, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.