

Edgar Filing: HEICO CORP - Form SC 13G/A

HEICO CORP
Form SC 13G/A
February 14, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 9)

HEICO Corporation
(Name of Issuer)

Common Stock, Par Value \$.01 Per Share
(Title of Class of Securities)

422806109
(CUSIP Number)

Check the following if a fee is being paid with this statement. [] (A fee is not required only if the filing person: (1) has a previous statement on file reporting beneficial ownership of more than five percent of the class of securities described in Item 1; and (2) has filed no amendment subsequent thereto reporting beneficial ownership of five percent or less of such class.) (See Rule 13d-7).

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 422806109

NAMES OF REPORTING PERSONS.

1

HEICO Savings and Investment Plan and Trust

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2

(a) []

(b) []

SEC USE ONLY

3

Edgar Filing: HEICO CORP - Form SC 13G/A

CITIZENSHIP OR PLACE OF ORGANIZATION		
4	Florida	

		SOLE VOTING POWER
	5	363,266
NUMBER OF SHARES		-----
		SHARED VOTING POWER
BENEFICIALLY OWNED BY	6	None

		SOLE DISPOSITIVE POWER
EACH	7	363,266
REPORTING PERSON		-----
		SHARED DISPOSITIVE POWER
WITH	8	None

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
9	363,266	

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES [X]		
10	The HEICO Savings and Investment Plan and Trust is the record owner of 1,167,769 shares of Common Stock. The HEICO Savings and Investment Plan and Trust disclaims beneficial ownership of 804,503 allocated shares of Common Stock as of September 30, 2001 pursuant to Rule 13d-4.	

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)		
11	3.9%	

TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)		
12	EP	

- Item 1 (a) Name of Issuer
HEICO Corporation
- Item 1 (b) Address of Issuer's Principal Executive Offices
3000 Taft Street
Hollywood, Florida 33021
- Item 2 (a) Name of Person Filing
The HEICO Savings and Investment Plan and Trust
- Item 2 (b) Address of Principal Business Office or, if none, Residence
3000 Taft Street
Hollywood, Florida 33021
- Item 2 (c) Citizenship
Florida
- Item 2 (d) Title of Class of Securities
Common Stock, par value \$.01 per share

Edgar Filing: HEICO CORP - Form SC 13G/A

Item 2 (e) CUSIP Number
422806109

Item 3. If this statement is filed pursuant to (S)240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) ☐ Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e) ☐ An investment adviser in accordance with (S)240.13d-1(b)(1)(ii)(E);
- (f) ☒ An employee benefit plan Pension Fund which is subject to the provisions of the Employee Retirement Income Security Act of 1974 or endowment fund in accordance with (S)240.13d-1(b)(1)(ii)(F);
- (g) ☐ A parent holding company or control person in accordance with (S)240.13d-1(b)(1)(ii)(G);
- (h) ☐ A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

Item 4. Ownership.

- (a) Amount beneficially owned:
363,266
- (b) Percent of class:
3.9%
- (c) Number of shares as to which the person has:
 - (i) Sole power to vote or to direct the vote
363,266
 - (ii) Shared power to vote or to direct the vote
None
 - (iii) Sole power to dispose or to direct the disposition of
363,266
 - (iv) Shared power to dispose or to direct the disposition of
None

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5% of the class of securities, check the following: ☒

Item 6. Ownership of More than Five Percent on Behalf of Another Person.
None

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.
Not Applicable

Edgar Filing: HEICO CORP - Form SC 13G/A

Item 8. Identification and Classification of Members of the Group.
Not Applicable

Item 9. Notice of Dissolution of a Group
Not Applicable

Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purposes or effect.

Signature:

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

FEBRUARY 14, 2002

Date

HEICO SAVINGS AND INVESTMENT PLAN AND TRUST

By: HEICO CORPORATION, PLAN ADMINISTRATOR

By: /S/ THOMAS S. IRWIN

Thomas S. Irwin, Executive
Vice President and Chief
Financial Officer