

MURPHY RICHARD
Form 4
August 18, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
**CROSS RIVER CAPITAL
MANAGEMENT LLC**

(Last) (First) (Middle)

31 BAILEY AVENUE, UNIT D

(Street)

RIDGEFIELD, CT 06877

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Enservco Corp [ENSV]

3. Date of Earliest Transaction
(Month/Day/Year)
08/16/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
____X____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.005 par value	08/16/2017		P	74,000	A \$ 0.3819	9,684,117	D <u>(1)</u>
Common Stock, \$.005 par value	08/16/2017		P	0	A \$ 0.3819	9,684,117	I See Footnote (2)
Common Stock, \$.005 par value	08/17/2017		P	88,111	A \$ 0.0377	9,772,228	D <u>(1)</u>

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Common Stock, \$0.005 par value	08/17/2017	P	0	A	\$ 0.0377	9,772,228	I	See Footnote <u>(2)</u>
Common Stock, \$0.005 par value	08/18/2017	P	228,300	A	\$ 0.4	10,000,528	D <u>(1)</u>	
Common Stock, \$0.005 par value	08/18/2017	P	0	A	\$ 0.4	10,000,528	I	See Footnote <u>(2)</u>
Common Stock, \$0.005 par value						73,900	D <u>(3)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Warrants	\$ 0.31					06/28/2017	06/28/2022	Common Stock, \$0.005 par value
								1,612,902

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

Officer

Other

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10%
Owner

CROSS RIVER CAPITAL MANAGEMENT LLC
31 BAILEY AVENUE
UNIT D
RIDGEFIELD, CT 06877

X

Cross River Management LLC
31 BAILEY AVENUE
UNIT D
RIDGEFIELD, CT 06877

X

Cross River Partners LP
C/O CROSS RIVER CAPITAL MANAGEMENT LLC
31 BAILEY AVENUE, UNIT D
RIDGEFIELD, CT 06877

X

MURPHY RICHARD
C/O CROSS RIVER CAPITAL MANAGEMENT LLC
31 BAILEY AVENUE, UNIT D
RIDGEFIELD, CT 06877

X

X

Signatures

Cross River Capital Management LLC, By: /s/ Richard Murphy, Managing Member

08/18/2017

__Signature of Reporting Person

Date

Cross River Management LLC, By: /s/ Richard Murphy, Managing Member

08/18/2017

__Signature of Reporting Person

Date

Cross River Partners LP, By:/s/ Richard Murphy, Managing Member of Cross River Capital Management,LLC, its General Partner

08/18/2017

__Signature of Reporting Person

Date

/s/ Richard Murphy

08/18/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported securities are directly owned by Cross River Partners LP.

The reported securities are directly owned by Cross River Partners LP (the "Partnership"), a limited partnership whose general partner is Cross River Capital Management LLC (the "General Partner"), and may be deemed indirectly beneficially owned by the General Partner and by Cross River Management LLC, as the investment manager of the Partnership (the "Investment Manager"). The reported securities

(2) may also be deemed indirectly beneficially owned by Richard Murphy, as Managing Member of both the General Partner and the Investment Manager. The Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

(3) These securities are directly owned by Richard Murphy, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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