Atalig Francisco M. Form 4 April 10, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Atalig Francisco M.

2. Issuer Name and Ticker or Trading Symbol

BankGuam Holding Co [BKGM]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Street)

111 CHALAN SANTO PAPA

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 04/10/2019

(Check all applicable)

X\_ Officer (give title Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

SVP/Chief Financial Officer 6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HAGATNA, GU 96910

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

Transaction(s) (Instr. 3 and 4)

Ownership (Instr. 4) (Instr. 4)

10% Owner

(A) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. TransactionNumber Code of

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. F Underlying Securities (Instr. 3 and 4)

(9-02)

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#### Edgar Filing: Atalig Francisco M. - Form 4

(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Derivative Derivative Securities Acquired Security (A) or Disposed of (D) (Instr. 3, 4, and 5) Code V (A) (D) Date Title Expiration Amount Exercisable Date or Number of Shares **Employee** Stock Purchase Common 04/10/2019 06/30/2019 \$ 9.17 1,500 Stock Plan (Right to Buy)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Atalig Francisco M. 111 CHALAN SANTO PAPA HAGATNA, GU 96910

SVP/Chief Financial Officer

### **Signatures**

/s/ Tina Renee A Sablan, attorney-in-fact for Francisco M **Atalig** 

04/11/2019

(Ins

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Under ESPP each participant has the right to purchase up to 1,500 shares on the last day of an offer period, which offer period commenced on July 01, 2012. On August 31, 2012 offer period was amended to October 1, January 1, April 1, and July 1 and is three

months in duration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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