Hall Robert J Form 4 June 27, 2018

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Hall Robert J

2. Issuer Name and Ticker or Trading

Symbol

Vera Bradley, Inc. [VRA]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

C/O VERA BRADLEY, INC., 12420 06/19/2018

(Street)

STONEBRIDGE ROAD

4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_\_ 10% Owner

\_\_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

below)

\_X\_\_ Director

Officer (give title

ROANOKE, IN 46783

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivative S                                 | Securi  | ties Acqu   | ired, Disposed of  | f, or Beneficia  | ally Owned   |
|--------------------------------------|---|---|--|--|---------|-------------|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securitie<br>onor Dispose<br>(Instr. 3, 4 | d of (E | <b>)</b> )  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                |
| Common<br>Stock                      | 06/19/2018                              |   | G V                                    | 11,500                                       | D       | \$ 0        | 83,994 <u>(1)</u>  | I  | By Joan<br>Byrne Hall<br>Revocable<br>Trust                                      |
| Common<br>Stock                      | 06/25/2018                              |   | S                                      | 400,000                                      | D       | \$<br>14.43 | 3,010,469 (2)  | I  | By Barbara<br>B.<br>Baekgaard<br>2009<br>Grantor<br>Retained<br>Annuity<br>Trust |

#### Edgar Filing: Hall Robert J - Form 4

| Common<br>Stock  | 06/26/2018 | S(3) | 200,000 | D | \$<br>14.43 | 2,810,469 (2)                                    | I            | By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust |
|--|------------|------|---------|---|-------------|--|--------------|---|
| Common<br>Stock  |            |      |         |   |             | 71,604   | D            |   |
| Common<br>Stock  |            |      |         |   |             | 41,122   | I            | By Joan Byrne Hall 2016 Grantor Retained Annuity Trust #1   |
| Common<br>Stock  |            |      |         |   |             | 411,003 (1)                                      | I            | By Joan Byrne Hall 2017 Grantor Retained Annuity Trust #1   |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or inc  Persons who respond information container required to respond displays a currently on number. |            |      |         |   |             | nd to the collected in this form unless the form | are not<br>n | SEC 1474<br>(9-02)  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer    | cisable and | /. Tit | le and     | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|--------|------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transact   | iorNumber  | Expiration Date |             | Amou   | ınt of     | Derivative  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)       | Unde   | rlying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |             | Secur  | rities     | (Instr. 5)  |
|             | Derivative  |                     |                    |            | Securities |                 |             | (Instr | . 3 and 4) |             |
|             | Security    |                     |                    |            | Acquired   |                 |             | `      |            |             |
|             |             |                     |                    |            | (A) or     |                 |             |        |            |             |
|             |             |                     |                    |            | Disposed   |                 |             |        |            |             |
|             |             |                     |                    |            | of (D)     |                 |             |        |            |             |
|             |             |                     |                    |            | (Instr. 3, |                 |             |        |            |             |
|             |             |                     |                    |            | 4, and 5)  |                 |             |        |            |             |
|             |             |                     |                    |            | 4, and 3)  |                 |             |        |            |             |
|             |             |                     |                    |            |            |                 |             |        | Amount     |             |
|             |             |                     |                    |            |            | _               |             |        | or         |             |
|             |             |                     |                    |            |            | Date            | Expiration  | Title  | Number     |             |
|             |             |                     |                    |            |            | Exercisable     | Date        | 11110  | of         |             |
|             |             |                     |                    | Code V     | (A) (D)    |                 |             |        | Shares     |             |
|             |             |                     |                    | Code v     | (A) (D)    |                 |             |        | Shares     |             |

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Hall Robert J

C/O VERA BRADLEY, INC.
12420 STONEBRIDGE ROAD

ROANOKE, IN 46783

### **Signatures**

/s/ Robert J. Hall 06/27/2018

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On June 19, 2018, the Joan B. Hall 2017 Grantor Retained Annuity Trust #1 (the "2017 GRAT") made an annuity payment of 88,997 shares of Issuer common stock to the reporting person's spouse, who, in turn, assigned the shares to the Joan Byrne Hall Revocable Trust (the "Revocable Trust"). The reporting person's spouse is the settlor, sole trustee and sole annuitant of the 2017 GRAT and the settlor and sole trustee of the Revocable Trust.
- (2) The reporting person disclaims beneficial ownership of these shares.
- (3) This transaction constitutes a sale of shares to the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3