### Edgar Filing: KORN FERRY INTERNATIONAL - Form 4

### KORN FERRY INTERNATIONAL

Form 4 July 12, 2016

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ROZEK ROBERT P Issuer Symbol KORN FERRY INTERNATIONAL (Check all applicable) [KFY] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) C/O KORN/FERRY 07/08/2016 EVP, CFO & CCO INTERNATIONAL, 1900 AVENUE OF THE STARS, SUITE 2600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90067 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Ac	quired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	n(A) or Dis	sposed	of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4	and 5	5)	Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)		(A) or	, D.	Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
~			Code V	Amount	(D)	Price			
Common Stock, par value \$0.01 per share	07/08/2016		F	967 (1)	D	\$ 21.3	75,591	D	
Common Stock, par value \$0.01 per share	07/08/2016		A	21,600 (2)	A	\$ 0 (3)	97,191	D	
Common Stock, par	07/09/2016		A	22,630 (4)	A	\$ 0 (3)	119,821	D	

### Edgar Filing: KORN FERRY INTERNATIONAL - Form 4

value \$0.01 per share Common 8,505 Stock, par F D 07/11/2016 111,316 D value \$0.01 per share Common Stock, par 2,161 07/11/2016 F 109,155 D value \$0.01 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Deriv

Secu

Bene

Own

Follo

Repo

Trans

(Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and 7. Title and 1. Title of 2. 3. Transaction Date 3A. Deemed 8. Price of 9. Nu 4. 5. Derivative Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Code Security or Exercise (Month/Day/Year) Underlying Security of (Month/Day/Year) (Instr. 3) Price of (Instr. 8) Derivative Securities (Instr. 5) Derivative Securities (Instr. 3 and 4) Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount or Date Expiration Title Number Exercisable Date of Code V (A) (D) Shares

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

ROZEK ROBERT P C/O KORN/FERRY INTERNATIONAL 1900 AVENUE OF THE STARS, SUITE 2600 LOS ANGELES, CA 90067

EVP, CFO & CCO

## **Signatures**

/s/ Jonathan Kuai, 07/12/2016 attorney-in-fact

Reporting Owners 2

### Edgar Filing: KORN FERRY INTERNATIONAL - Form 4

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a reduction in shares to satisfy the tax withholding obligations of the Issuer with respect to the vesting, on July 8, 2016, of 2,572 shares of restricted stock held by the Reporting Person.
- (2) Represents shares of restricted stock that vest in four equal annual installments commencing on July 8, 2017.
- (3) Granted as compensation for services.
- (4) Acquired upon the settlement of TSR performance units granted on July 9, 2013 under the Korn/Ferry International Second Amended and Reatated 2008 Stock Incentive Plan a result of the satisfaction of the performance criteria underlying the award.
- (5) Represents a reduction in shares to satisfy the tax withholding obligations of the Issuer with respect to the settlement, on July 9, 2016, of 22,630 TSR performance units, as described in footnote (4) above
- Represents a reduction in shares to satisfy the tax withholding obligations of the Issuer with respect to the vesting, on July 11, 2016, of 4,195 shares of restricted stock held by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3